CMSC 473/673 Natural Language Processing

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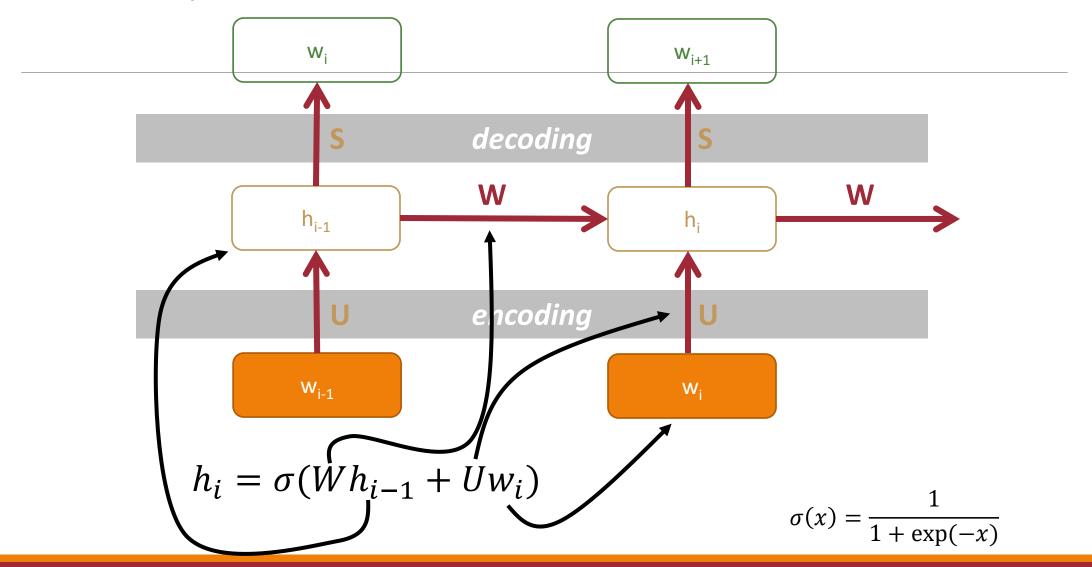
Slides modified from Dr. Frank Ferraro & Dr. Daphne Ippolito

Learning Objectives

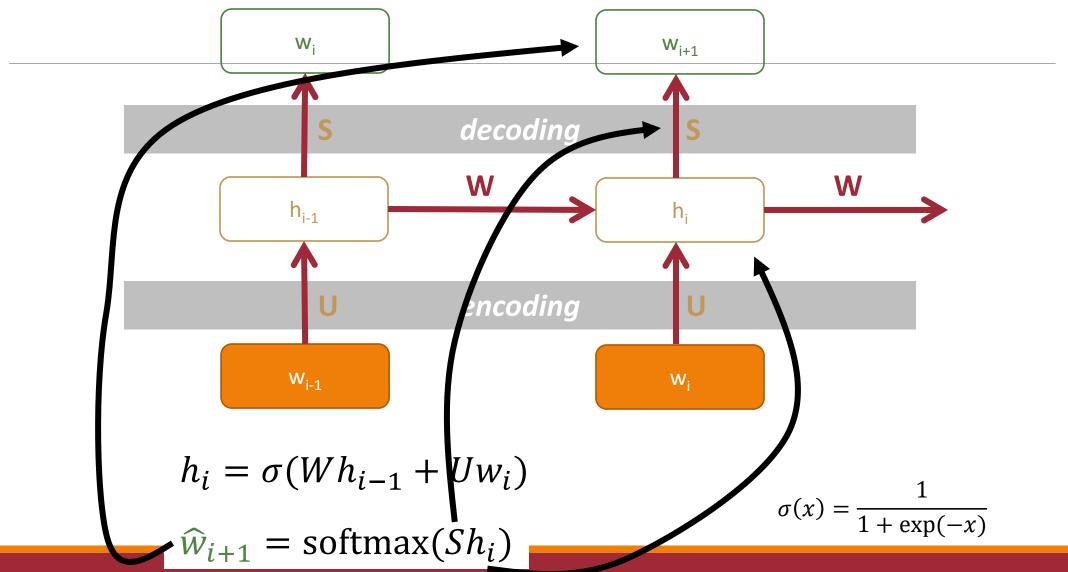
Compare sequence-to-sequence RNNs to simple NNs & non-neural LMs

Compare sequence-to-sequence RNNs to transformers

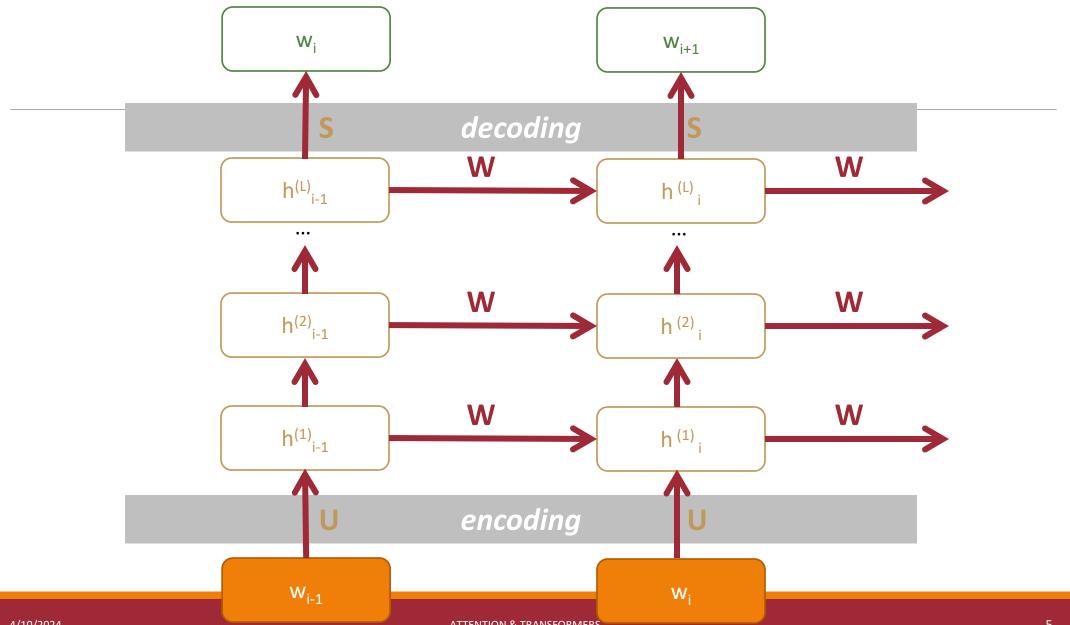
Review: A *Simple* Recurrent Neural Network Cell



Review: A *Simple* Recurrent Neural Network Cell



Review: A Multi-Layer Simple Recurrent Neural Network Cell



Review: Defining A Simple RNN in Python

rnn = RNN(n_letters, n_hidden, n_categories)

http://pytorch.org/tutorials/intermediate/char rnn classification tutorial.html

```
import torch.nn as nn
class RNN(nn.Module):
    def __init__(self, input_size, hidden_size, output_size):
        super(RNN, self).__init__()
        self.hidden_size = hidden_size
        self.i2h = nn.Linear(input_size + hidden_size, hidden_size)
        self.h2o = nn.Linear(hidden_size, output_size)
        self.softmax = nn.LogSoftmax(dim=1)
    def forward(self, input, hidden):
                                                                                   W_{i+1}
        combined = torch.cat((input, hidden), 1)
        hidden = self.i2h(combined)
        output = self.h2o(hidden)
        output = self.softmax(output)
        return output, hidden
    def initHidden(self):
        return torch.zeros(1, self.hidden_size)
n hidden = 128
```

Review: Training A Simple RNN in Python

http://pytorch.org/tutorials/intermediate/char rnn classification tutorial.html

Negative loglikelihood

```
criterion = nn.NLLLoss()
learning rate = 0.005 # If you set this too high, it might explode. If too low, it might not learn
def train(category tensor, line tensor):
    hidden = rnn.initHidden()
    rnn.zero grad()
                                                                                                        Set t = 0
    for i in range(line tensor.size()[0]):
                                                                  get predictions
                                                                                                         Pick a starting value \theta.
         output, hidden = rnn(line tensor[i], hidden)
                                                                                                         Until converged:
                                                                                                          for example(s) sentence i:
                                                                  eval predictions
    loss = criterion(output, category tensor)
                                                                                                           1. Compute loss I on x<sub>i</sub>
    loss.backward()
                                                                compute gradient
                                                                                                           2. Get gradient g_+ = l'(x_i)
                                                                                                           3. Get scaling factor ρ.
    # Add parameters' gradients to their values.
                                                                                                           4. Set \theta_{t+1} = \theta_t - \rho_t * g_t
    for p in rnn.parameters():
                                                                   perform SGD
                                                                                                           5. Set t += 1
         p.data.add (-learning rate, p.grad.data)
    return output, loss.data[0]
```

Sequence-to-Sequence RNNs

Up until 2017 or so, neural language models were mostly built using recurrent neural networks.

Sequence to Sequence Learning with Neural Networks

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Abstract

Deep Neural Networks (DNNs) are powerful models that have achieved excellent performance on difficult learning tasks. Although DNNs work well whenever large labeled training sets are available, they cannot be used to map sequences to sequences. In this paper, we present a general end-to-end approach to sequence learning that makes minimal assumptions on the sequence structure. Our method uses a multilayered Long Short-Term Memory (LSTM) to map the input sequence to a vector of a fixed dimensionality, and then another deep LSTM to decode the target sequence from the vector. Our main result is that on an English to French translation task from the WMT'14 dataset, the translations produced by the LSTM achieve a BLEU score of 34.8 on the entire test set, where the LSTM's BLEU score was penalized on out-of-vocabulary words. Additionally, the LSTM did not have difficulty on long sentences. For comparison, a phrase-based SMT system achieves a BLEU score of 33.3 on the same dataset. When we used the LSTM to rerank the 1000 hypotheses produced by the aforementioned SMT system, its BLEU score increases to 36.5, which is close to the previous best result on this task. The LSTM also learned sensible phrase and sentence representations that are sensitive to word order and are relatively invariant to the active and the passive voice. Finally, we found that reversing the order of the words in all source sentences (but not target sentences) improved the LSTM's performance markedly, because doing so introduced many short term dependencies between the source and the target centence which made the entimization problem easie

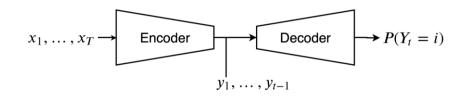


Generating Sequences With Recurrent Neural Networks

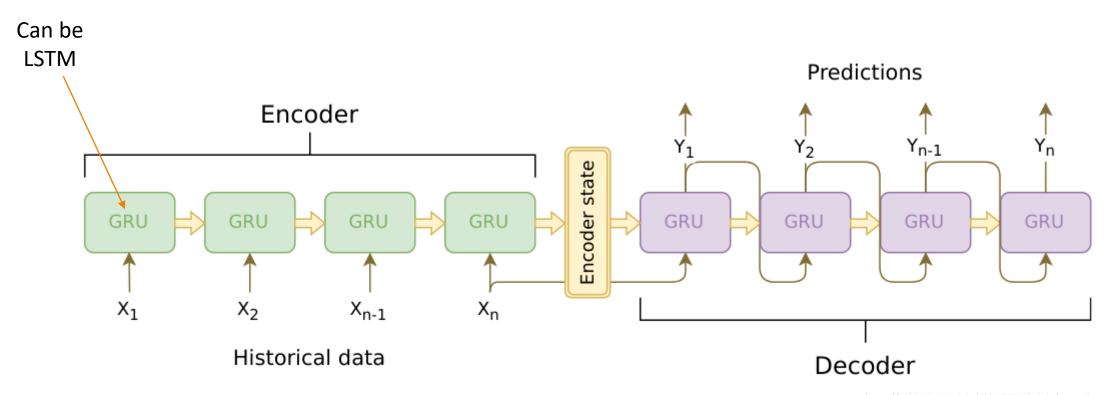
Alex Graves Department of Computer Science University of Toronto graves@cs.toronto.edu

Abstract

This paper shows how Long Short-term Memory recurrent neural networks can be used to generate complex sequences with long-range structure, simply by predicting one data point at a time. The approach is demonstrated for text (where the data are discrete) and online handwriting (where the data are real-valued). It is then extended to handwriting synthesis by allowing the network to condition its predictions on a text sequence. The resulting system is able to generate highly realistic cursive band-witing in a wide conjete of studes



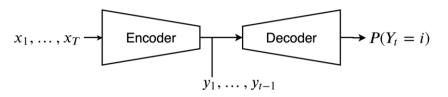
Sequence-to-Sequence / Encoder-Decoder Models



https://jeddy92.github.io/JEddy92.github.io/ts_seq2seq_intro

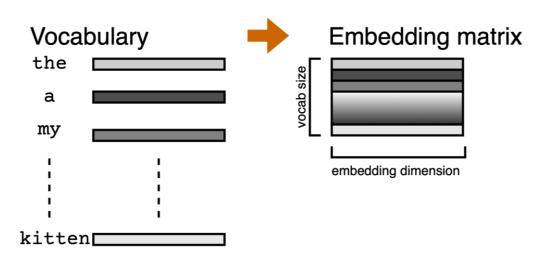
I. Sutskever, O. Vinyals, and Q. V. Le, "Sequence to Sequence Learning with Neural Networks," in *Conference on Advances in Neural Information Processing Systems (NeurIPS)*, Montréal, Canada, 2014, pp. 3104–3112. https://proceedings.neurips.cc/paper_files/paper/2014/hash/a14ac55a4f27472c5d894ec1c3c743d2-Abstract.html

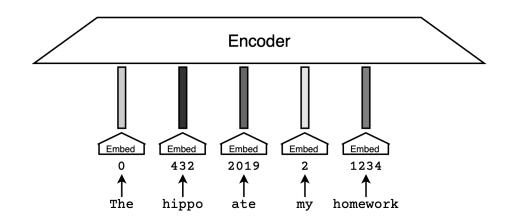
4/10/2024 ATTENTION & TRANSFORMERS

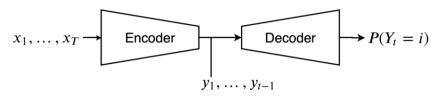


Inputs to the Encoder

The encoder takes as input the embeddings corresponding to each token in the sequence.

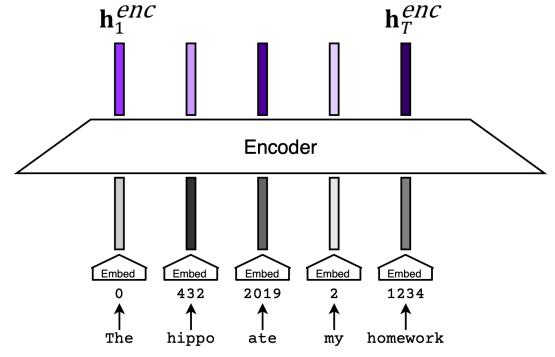




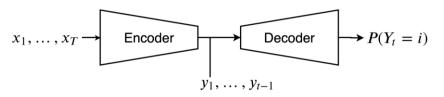


Outputs from the Encoder

The encoder outputs a sequence of vectors. These are called the hidden state of the encoder.

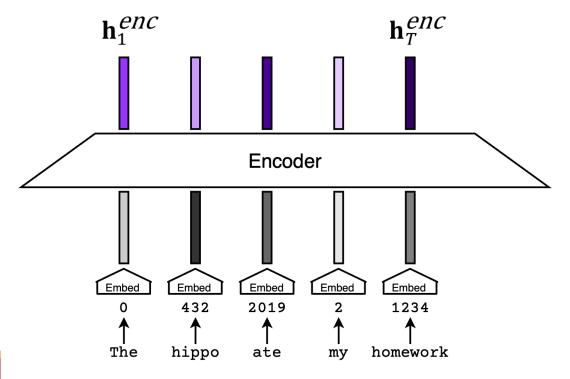


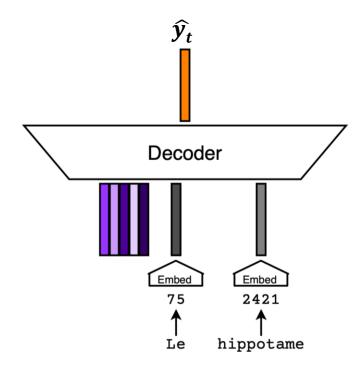
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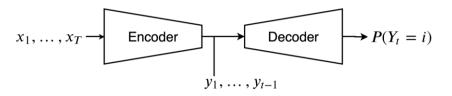


Inputs to the Decoder

The decoder takes as input the hidden states from the encoder as well as the embeddings for the tokens seen so far in the target sequence.

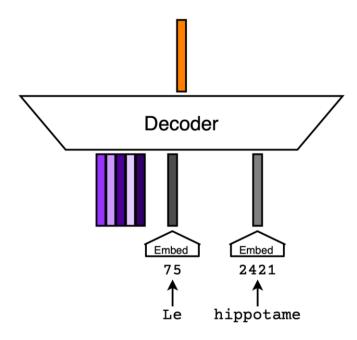






Outputs from the Decoder

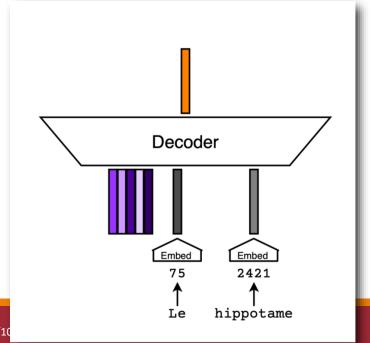
The decoder outputs an embedding \widehat{yt} . The goal is for this embedding to be as close as possible to the embedding of the true next token.

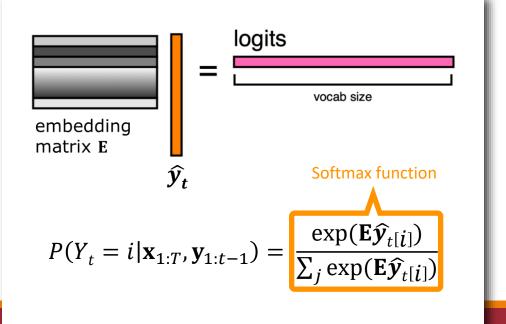


Turning $\widehat{\boldsymbol{yt}}$ into a Probability Distribution

We can multiply the predicted embedding \widehat{yt} by our vocabulary embedding matric to get a score for each vocabulary word. These scores are referred to as logits.

The softmax function then lets us turn the logits into probabilities.





Review: Loss Function

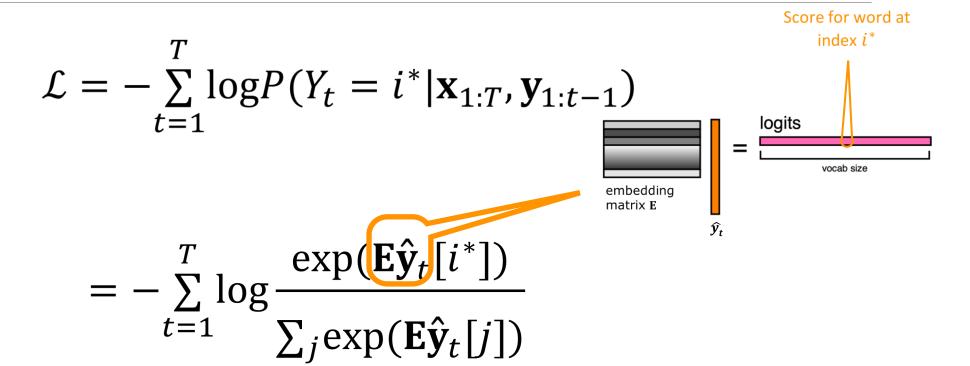
$$\mathcal{L} = -\sum_{t=1}^{T} \log P(Y_t = i^* | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1})$$
The index of the true tth word in the target sequence.

Review: Loss Function

$$\mathcal{L} = -\sum_{t=1}^{T} \log P(Y_t = i^* | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1})$$

The probability the language model assigns to the true *t*th word in the target sequence.

Loss Function



$$P(Y_t = i | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1}) = \frac{\exp(\mathbf{E}\widehat{\mathbf{y}}_{t[i]})}{\sum_{j} \exp(\mathbf{E}\widehat{\mathbf{y}}_{t[i]})}$$

Loss Function

$$\mathcal{L} = -\sum_{t=1}^{T} \log P(Y_t = i^* | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1})$$

$$= -\sum_{t=1}^{T} \frac{\exp(\mathbf{E}\mathbf{y}_{t}[i^{*}])}{\sum_{j} \exp(\mathbf{E}\hat{\mathbf{y}}_{t}[j])}$$

$$= -\sum_{t=1}^{T} \mathbf{E} \hat{\mathbf{y}}_t[i^*]$$

Generating Text

Also sometimes called decoding



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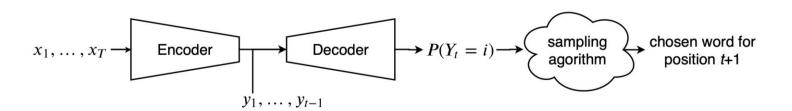
To generate text, we need an algorithm that selects tokens given the predicted probability distributions.

Examples:

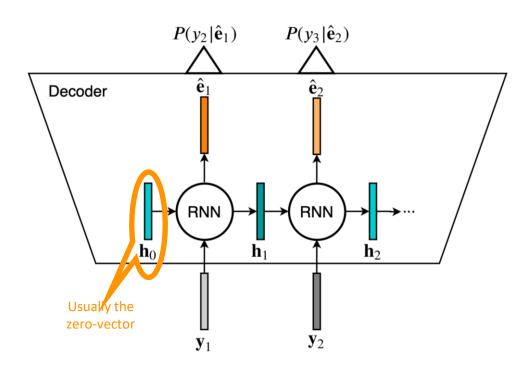
Argmax

Random sampling

Beam search



RNNs - Single Layer Decoder



The current hidden state is computed as a function of the previous hidden state and the embedding of the current word in the target sequence.

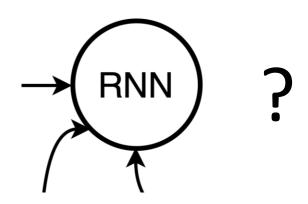
$$\mathbf{h}_t = \mathsf{RNN}(\mathbf{W}_{ih}\mathbf{y}_t + \mathbf{W}_{hh}\mathbf{h}_{t-1} + \mathbf{b}_h)$$

The current hidden state is used to predict an embedding for the next word in the target sequence.

$$\hat{\mathbf{e}}_t = \mathbf{b}_e + \mathbf{W}_{he} \mathbf{h}_t$$

This predicted embedding is used in the loss function:

What is the "RNN" unit?

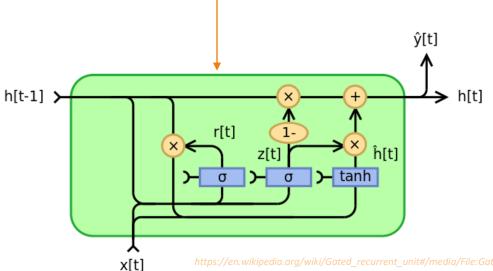


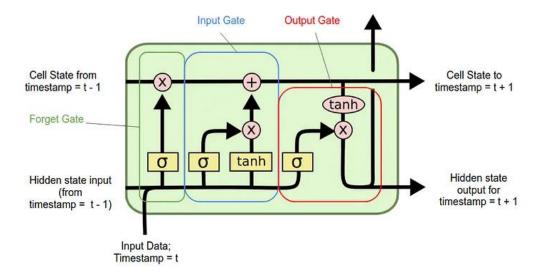
Review: LSTMs/GRUs

LSTM: Long Short-Term Memory (Hochreiter & Schmidhuber, 1997)

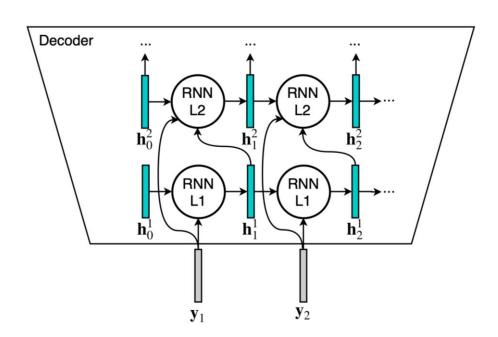
LSTMs were originally designed to keep around information for longer in the hidden state as it gets repeatedly updated.

GRU: Gated Recurrent Unit (Cho et al., 2014)





RNN Multi-Layer Decoder Architecture



Computing the next hidden state:

For the first layer:

$$\mathbf{h}_{t}^{1} = \text{RNN}(\mathbf{W}_{ih^{1}}\mathbf{y}_{t} + \mathbf{W}_{h^{1}h^{1}}\mathbf{h}_{t-1}^{1} + \mathbf{b}_{h}^{1})$$

For subsequent layers:

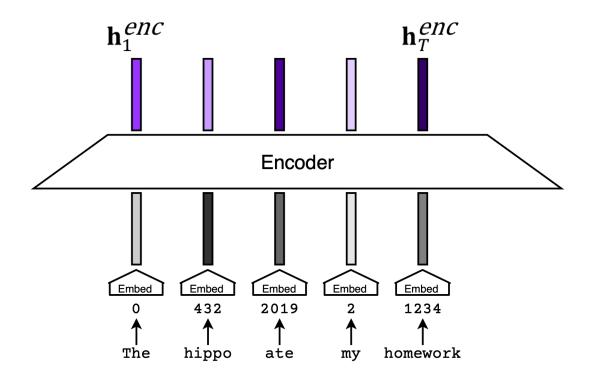
$$\mathbf{h}_t^l = \mathsf{RNN}(\mathbf{W}_{ih^l} \, \mathbf{y}_t + \mathbf{W}_{h^{l-1} \, h^l} \mathbf{h}_t^{l-1} + \mathbf{W}_{h^l \, h^l} \mathbf{h}_{t-1}^l + \mathbf{b}_h^l)$$

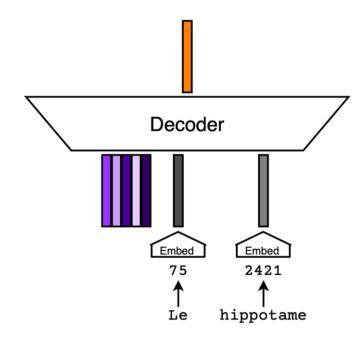
Predicting an embedding for the next token in the sequence:

$$\widehat{\mathbf{e}}t = \mathbf{b}_e + \sum_{l=1}^{L} \mathbf{W}_{h^l e} \mathbf{h}_t^l$$

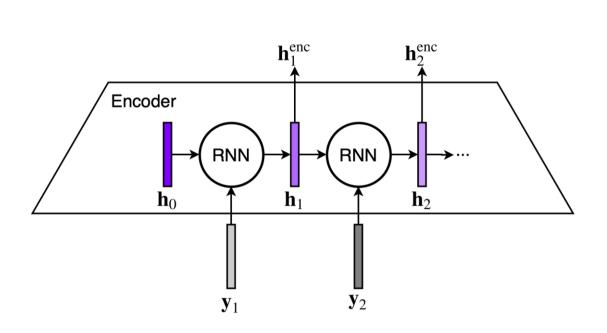
Each of the b and W are learned bias and weight matrices.

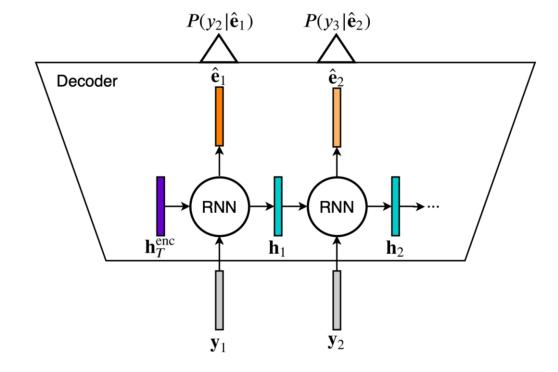
How do we implement an encoder-decoder model?





Simplest approach: Use the final hidden state from the encoder to initialize the first hidden state of the decoder.





Translate Fr to En

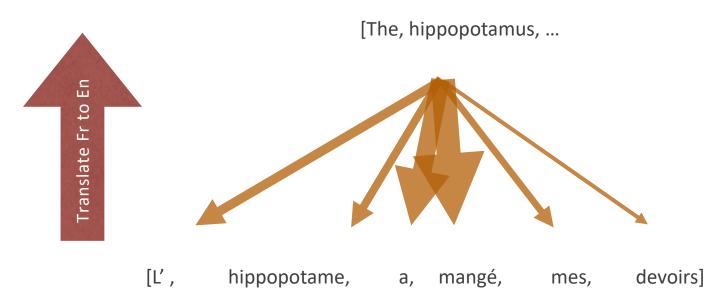
[The, hippopotamus, ...

When predicting the next English word, how much weight should the model put on each French word in the source sequence?

[L', hippopotame, a, mangé, mes, devoirs]

Attention

Better approach: an attention mechanism



Compute a linear combination of the encoder hidden states.

Decoder's prediction at position *t* is based on both the context vector and the hidden state outputted by the RNN at that position.

$$\hat{\mathbf{e}}_t = f_{\theta}(\mathbf{h}_t^{\text{dec}} \mathbf{c}_t)$$

The tth context vector is computed as $\mathbf{c}t = \mathbf{H}^{\mathrm{enc}}at$ $at[i] = \mathrm{softmax}(\mathrm{att_score}(\mathbf{h}_t^{\mathrm{dec}}, \mathbf{h}i^{\mathrm{enc}}))$ Compute a linear combination of the encoder hidden states.

$$= \alpha_1 + \alpha_2 + \alpha_3 + \ldots + \alpha_T$$

Decoder's prediction at position *t* is based on both the context vector and the hidden state outputted by the RNN at that position.

$$\hat{\mathbf{e}}_t = f_{\theta}(\mathbf{h}_t^{\text{dec}} \mathbf{c}_t)$$

There are a few different options for the attention score:

$$\mathbf{H}^{\mathrm{enc}} =$$

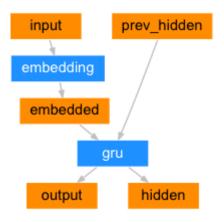
$$\text{att_score}(\mathbf{h}_t^{\text{dec}}, \mathbf{h}_i^{\text{enc}}) = \begin{cases} \mathbf{h}_t^{\text{dec}} \cdot \mathbf{h}_i^{\text{enc}} \\ \mathbf{h}_t^{\text{dec}} \cdot \mathbf{W} a \mathbf{h}_i^{\text{enc}} \end{cases}$$
$$w_{a1}^{\top} \tanh(\mathbf{W} a \mathbf{2} [\mathbf{h}_t^{\text{dec}}, \mathbf{h}_i^{\text{enc}}])$$

dot product

bilinear function

MLP

Review: Encoder Code



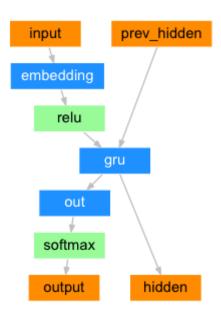
```
class EncoderRNN(nn.Module):
    def __init__(self, input_size, hidden_size, dropout_p=0.1):
        super(EncoderRNN, self).__init__()
        self.hidden_size = hidden_size

        self.embedding = nn.Embedding(input_size, hidden_size)
        self.gru = nn.GRU(hidden_size, hidden_size, batch_first=True)
        self.dropout = nn.Dropout(dropout_p)

def forward(self, input):
    embedded = self.dropout(self.embedding(input))
    output, hidden = self.gru(embedded)
    return output, hidden
```

https://pytorch.org/tutorials/intermediate/seq2seq translation tutorial.html

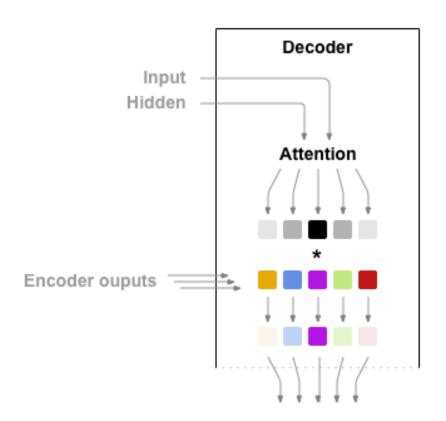
Review: Decoder Code



https://pytorch.org/tutorials/intermediate/seq2seq translation tutorial.html

```
class DecoderRNN(nn.Module):
   def __init__(self, hidden_size, output_size):
        super(DecoderRNN, self).__init__()
        self.embedding = nn.Embedding(output_size, hidden_size)
       self.gru = nn.GRU(hidden_size, hidden_size, batch_first=True)
        self.out = nn.Linear(hidden_size, output_size)
   def forward(self, encoder_outputs, encoder_hidden, target_tensor=None):
        batch_size = encoder_outputs.size(0)
        decoder_input = torch.empty(batch_size, 1, dtype=torch.long,
device=device).fill_(SOS_token)
        decoder_hidden = encoder_hidden
        decoder_outputs = []
       for i in range(MAX_LENGTH):
            decoder_output, decoder_hidden = self.forward_step(decoder_input, decoder_hidden)
            decoder_outputs.append(decoder_output)
           if target_tensor is not None:
                # Teacher forcing: Feed the target as the next input
               decoder_input = target_tensor[:, i].unsqueeze(1) # Teacher forcing
            else:
                # Without teacher forcing: use its own predictions as the next input
               _, topi = decoder_output.topk(1)
               decoder_input = topi.squeeze(-1).detach() # detach from history as input
        decoder_outputs = torch.cat(decoder_outputs, dim=1)
       decoder_outputs = F.log_softmax(decoder_outputs, dim=-1)
        return decoder_outputs, decoder_hidden, None # We return 'None' for consistency in the
training loop
   def forward_step(self, input, hidden):
        output = self.embedding(input)
       output = F.relu(output)
       output, hidden = self.gru(output, hidden)
       output = self.out(output)
       return output, hidden
```

Attention Decoder



https://pytorch.org/tutorials/intermediate/seq2seq translation tutorial.html

Think-Pair-Share

What are some of the strengths of seq2seq models (compared to some of the earlier LMs we talked about)?

What are some of its weaknesses?

Since 2018, the field has rapidly standardized on the Transformer architecture

Attention Is All You Need

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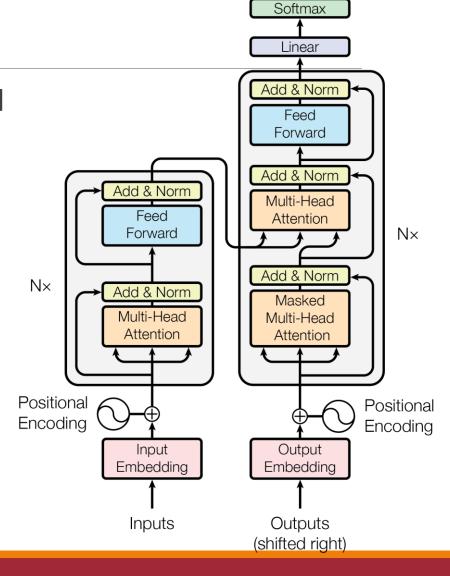
Illia Polosukhin* † illia.polosukhin@gmail.com

Abstract

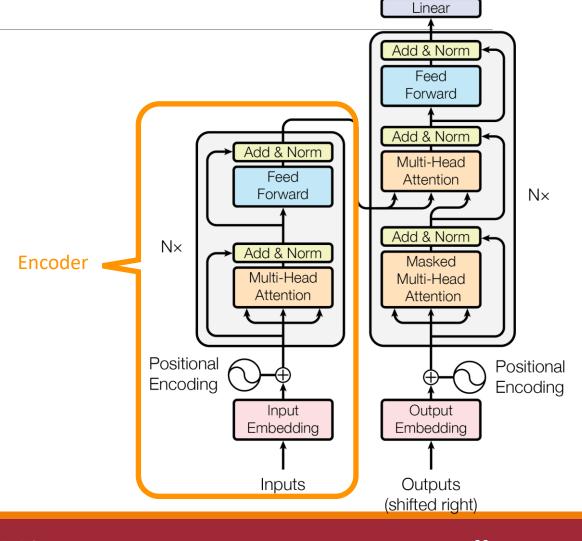
The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to

The Transformer is a **non-recurrent** non-convolutional (feed-forward) neural network designed for language understanding

 introduces <u>self-attention</u> in addition to encoderdecoder attention

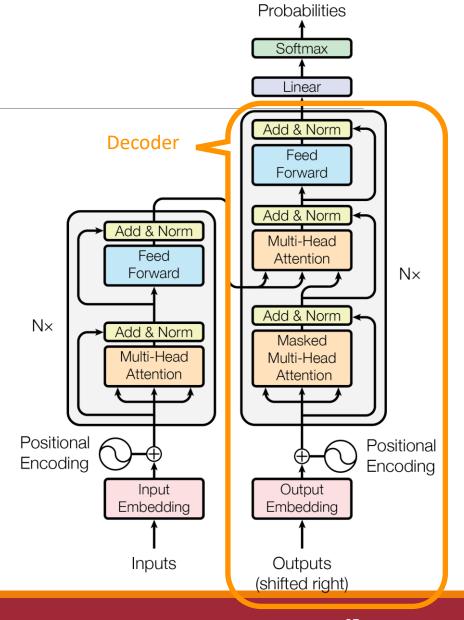


Output Probabilities



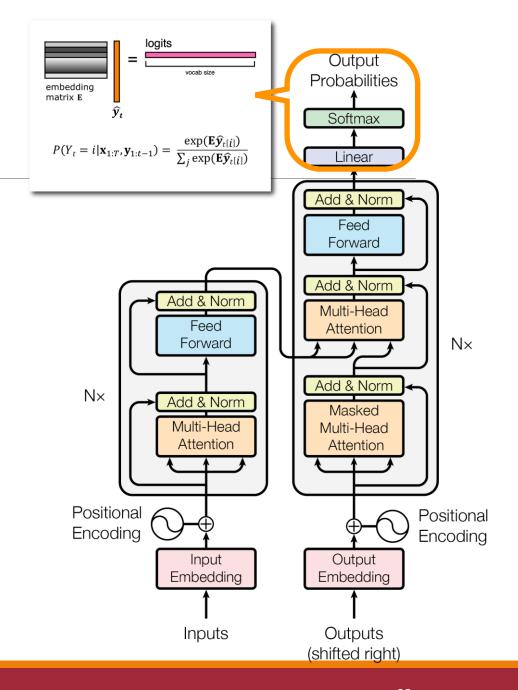
Output Probabilities

Softmax

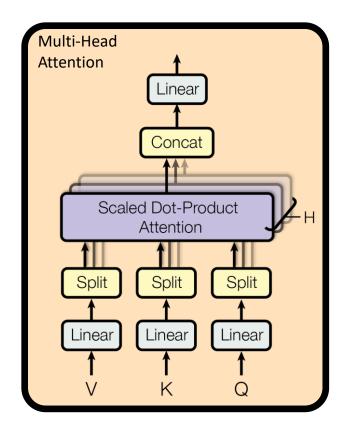


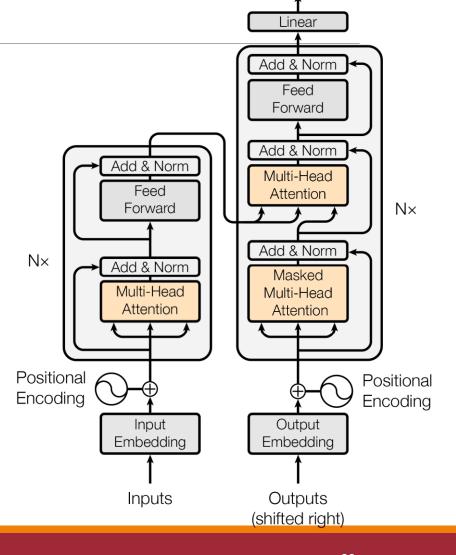
Output

Transformers



Attention Mechanism

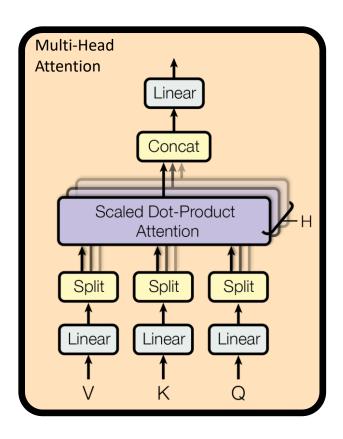




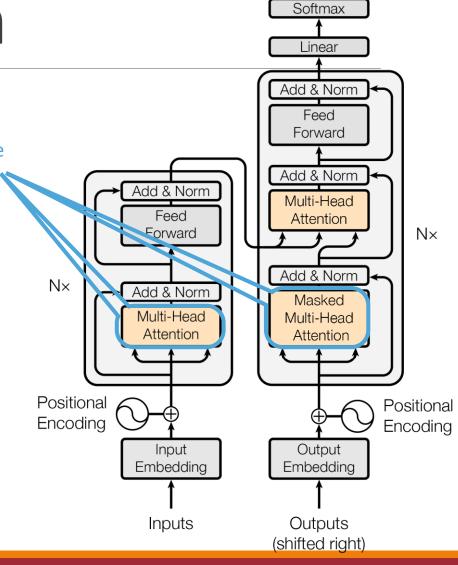
Output Probabilities

Softmax

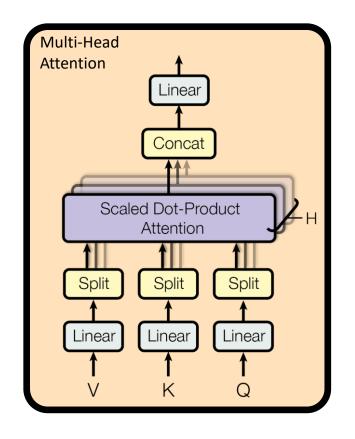
Multi-Head Attention

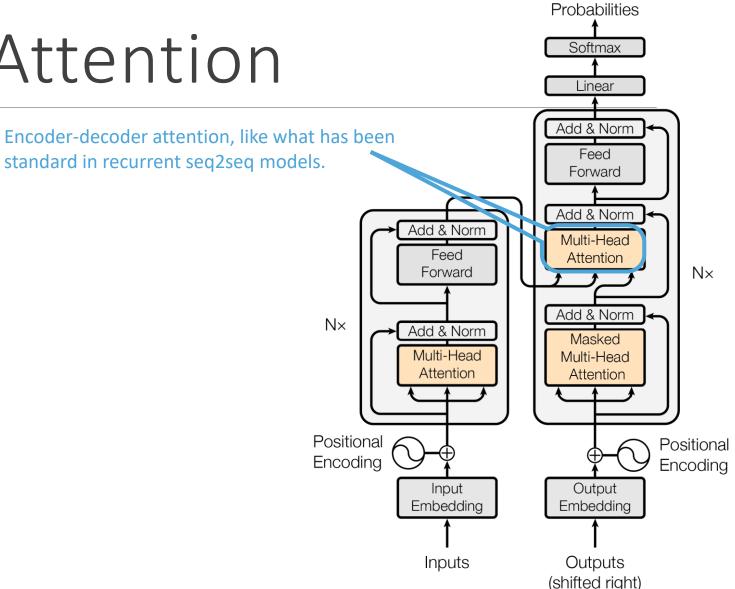


Self-attention between a sequence of hidden states and that same sequence of hidden states.



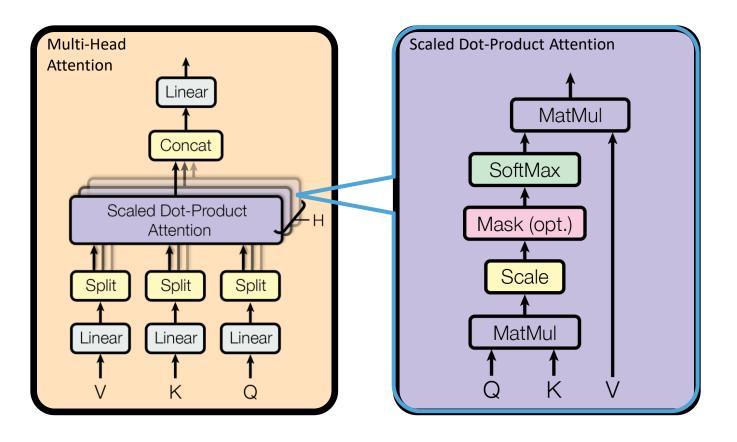
Multi-Head Attention

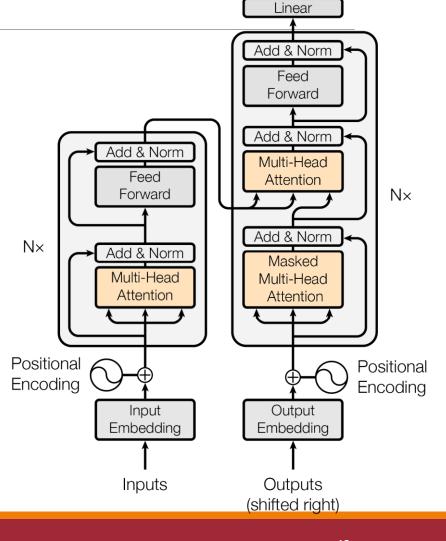




Output

Attention Mechanism

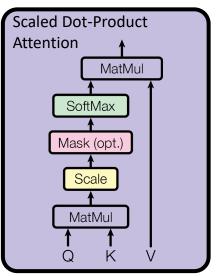




Output Probabilities

Softmax

The scaled dot-product attention mechanism is almost identical to the one we looked at, but let's turn it into matrix multiplications.



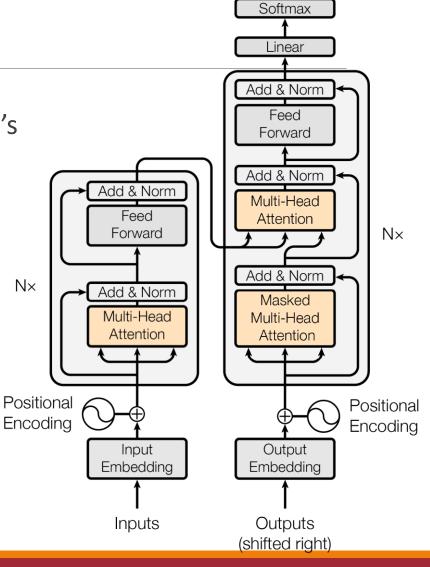
The query: $Q \in R^{Txdk}$

The key: $K \in R^{T'xdk}$

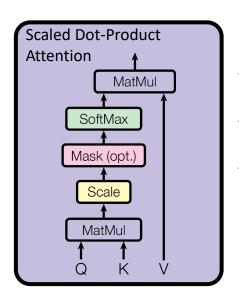
The value: $V \in R^{Txdk}$

This is the α vector we learned about before.

Attention(Q,K,V) = $\operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$



The scaled dot-product attention mechanism is almost identical to the one we looked at, but let's turn it into matrix multiplications.



The query: $Q \in R^{Txdk}$

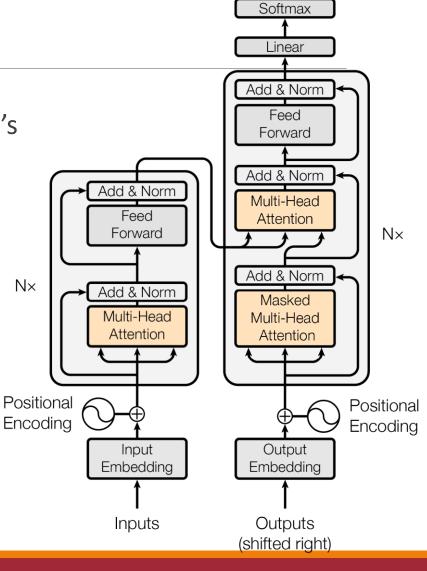
The key: $K \in R^{T'xdk}$

The value: $V \in R^{Txdk}$

This is the dot-product scoring function from previous slides

Attention(Q,K,V) = softmax $\left(\frac{QK^T}{\sqrt{d_k}}\right)$

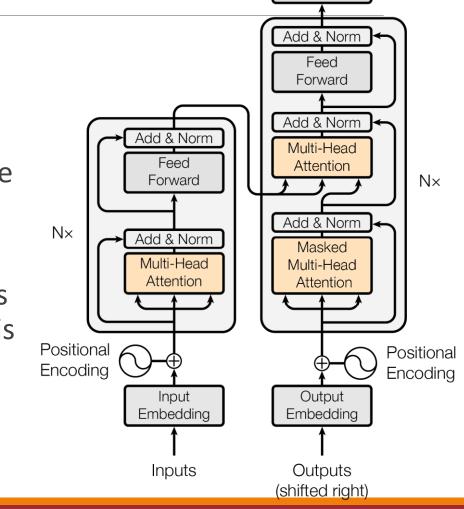
The $\sqrt{d_k}$ in the denominator prevents the dot product from getting too big



Attention(Q,K,V) = softmax
$$\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d_k}}\right)\mathbf{V}$$

The rough algorithm:

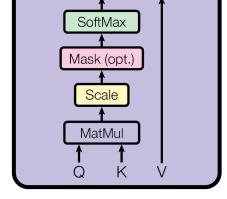
- For each vector in Q (query matrix), take the linear sum of the vectors in V (value matrix)
- The amount to weigh each vector in V is dependent on how "similar" that vector is to the query vector
- "Similarity" is measured in terms of the dot product between the vectors



Output Probabilities

Softmax

Linear

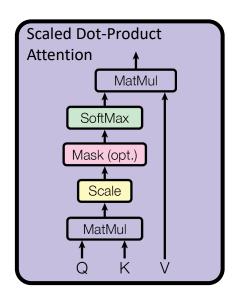


MatMul

Scaled Dot-Product

Attention

Attention(Q,K,V) = softmax
$$\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

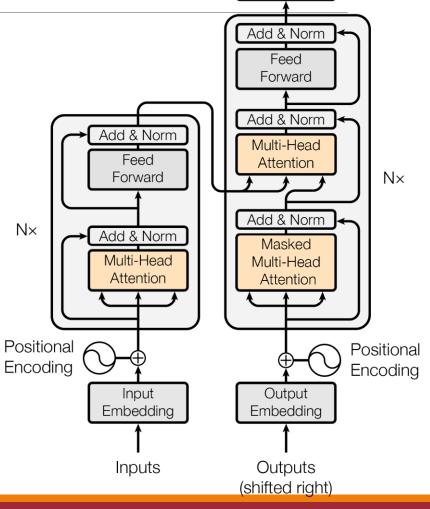


For self-attention:

Keys, queries, and values all come from the outputs of the previous layer

For encoder-decoder attention:

Keys and values come from encoder's final output. Queries come from the previous decoder layer's outputs.

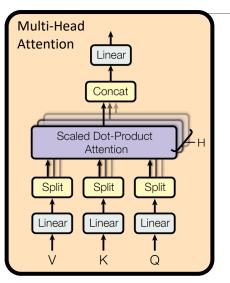


Output Probabilities

Softmax

Linear

Multi-Head Attention



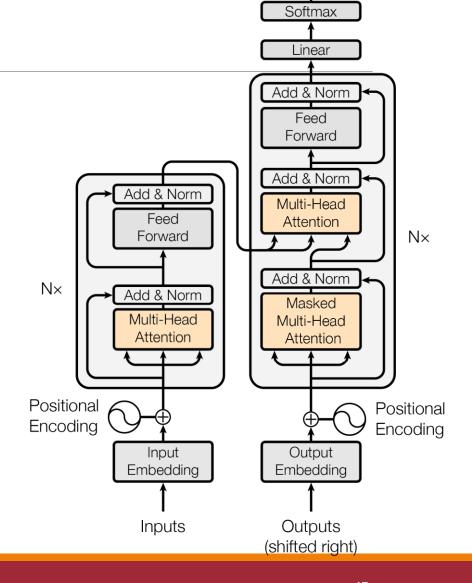
Attention(Q,K,V) = softmax
$$\left(\frac{QK^{T}}{\sqrt{d_{k}}}\right)V$$

MultiHeadAtt(\mathbf{Q} , \mathbf{K} , \mathbf{V}) = Concat(head₁, ... head_h) \mathbf{W} ^O

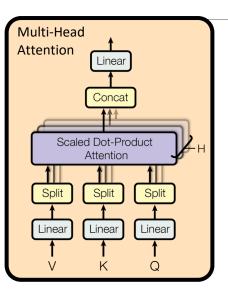
Instead of operating on **Q**, **K**, and **V** mechanism projects each input into a smaller dimension. This is done h times.

The attention operation is performed on each of these "heads," and the results are concatenated.

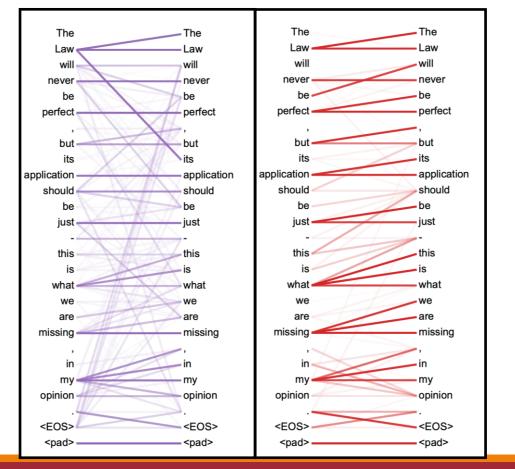
Multi-head attention allows the model to jointly attend to information from different representation subspaces at different positions.

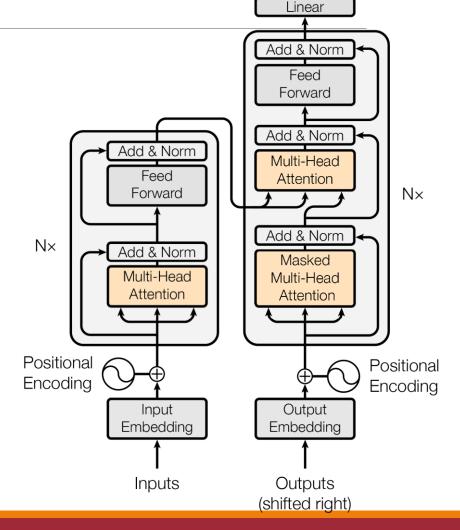


Multi-Head Attention



Two different self-attention heads:



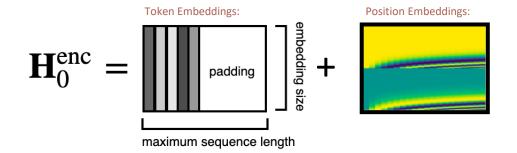


Output Probabilities

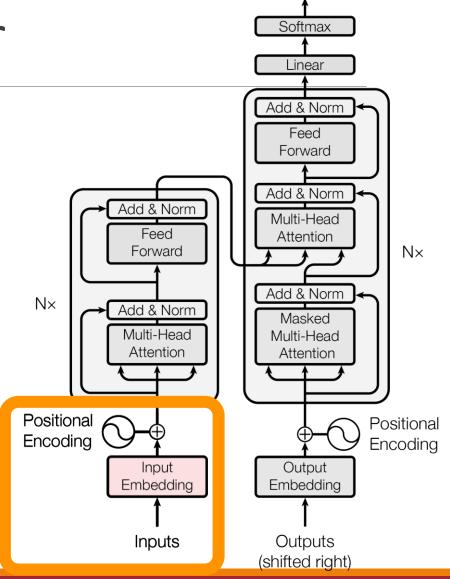
Softmax

Inputs to the Encoder

The input into the encoder looks like:

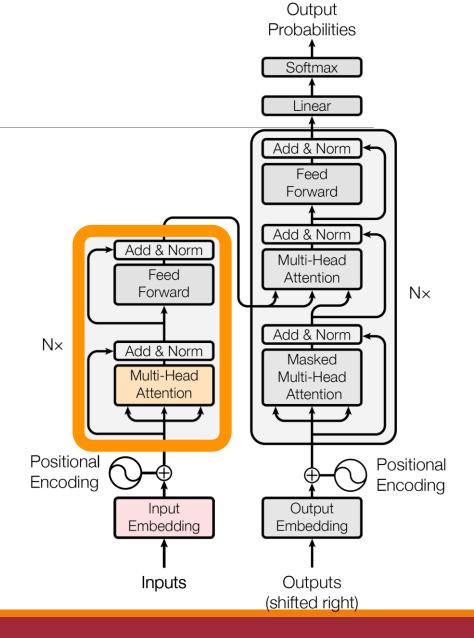


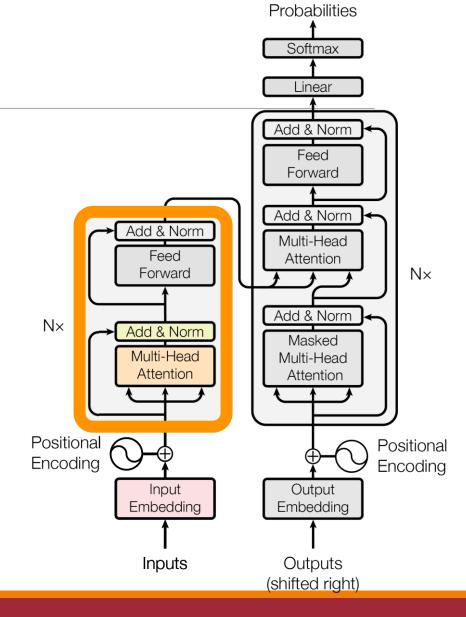
= token embeddings + position embeddings



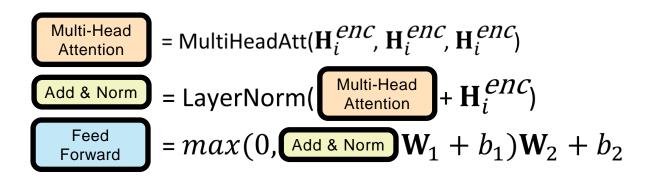
Multi-Head Attention

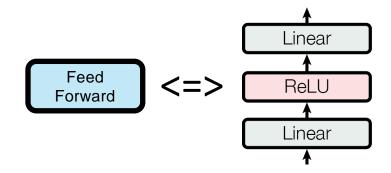
= MultiHeadAtt(\mathbf{H}_{i}^{enc} , \mathbf{H}_{i}^{enc} , \mathbf{H}_{i}^{enc})

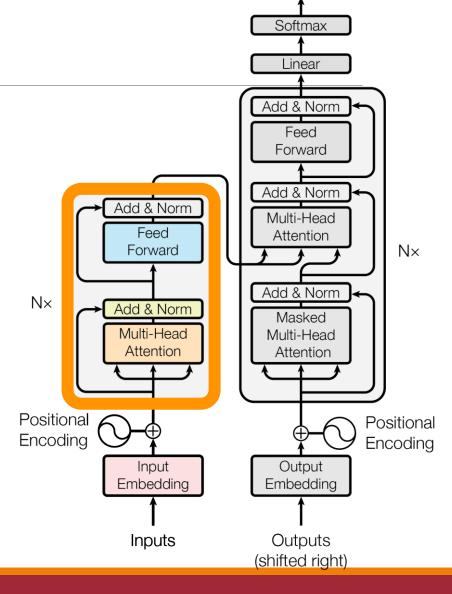


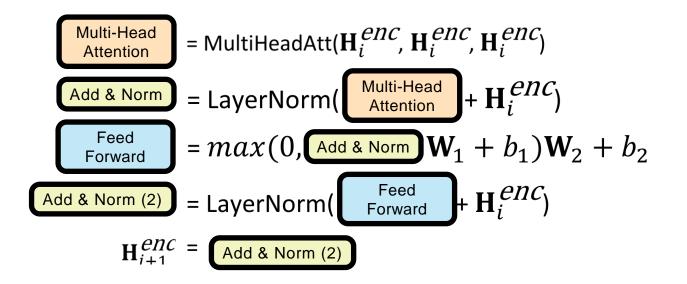


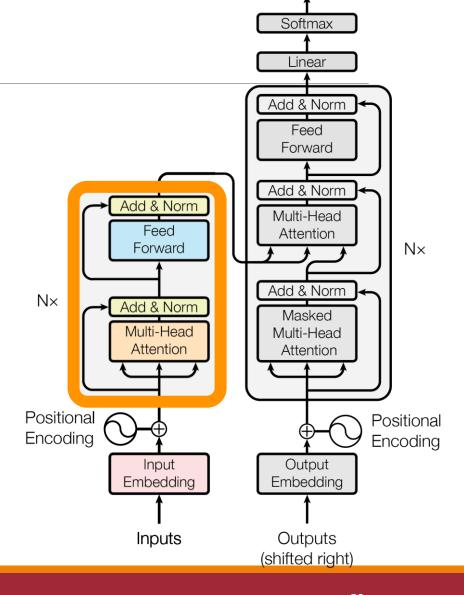
Output

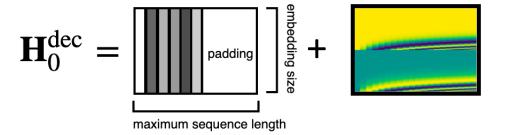




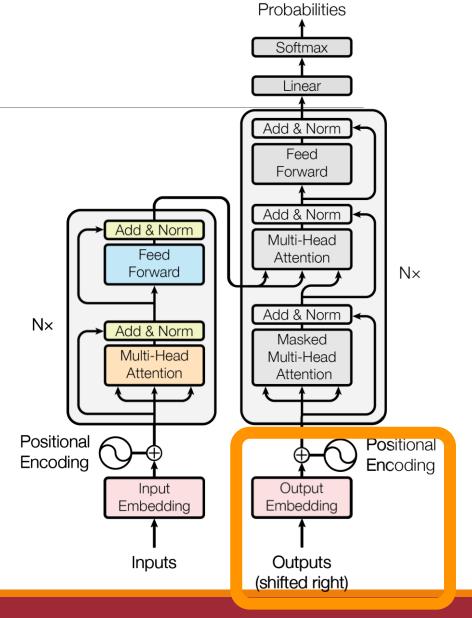






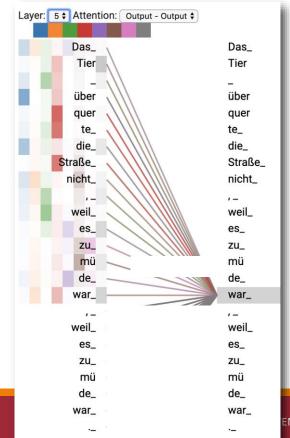


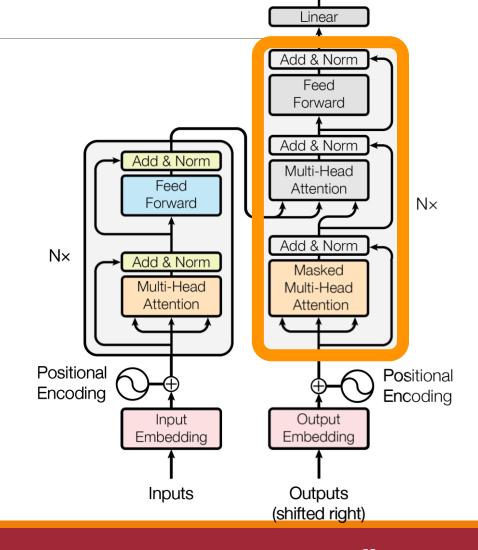
= token embeddings + position embeddings



Output

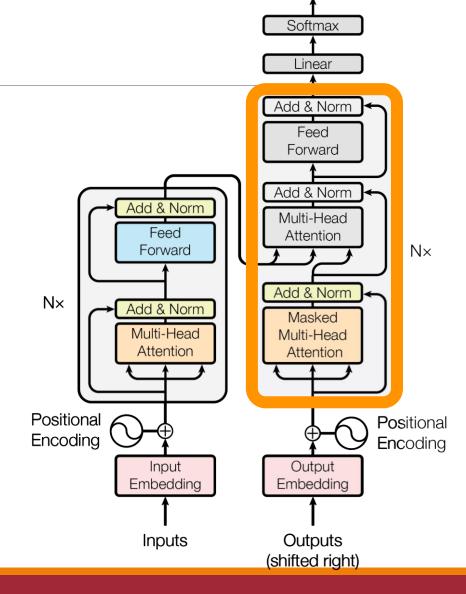
Masked Multi-Head Attention = MaskedMultiHeadAtt(\mathbf{H}_{i}^{dec} , \mathbf{H}_{i}^{dec} , \mathbf{H}_{i}^{dec})

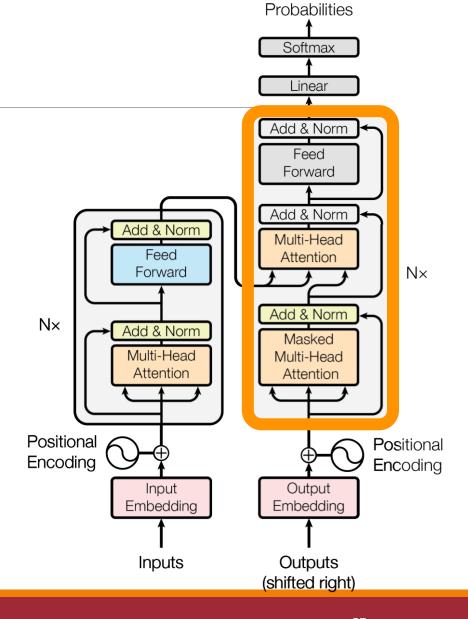




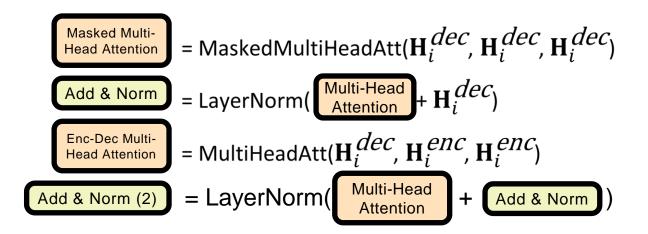
Output Probabilities

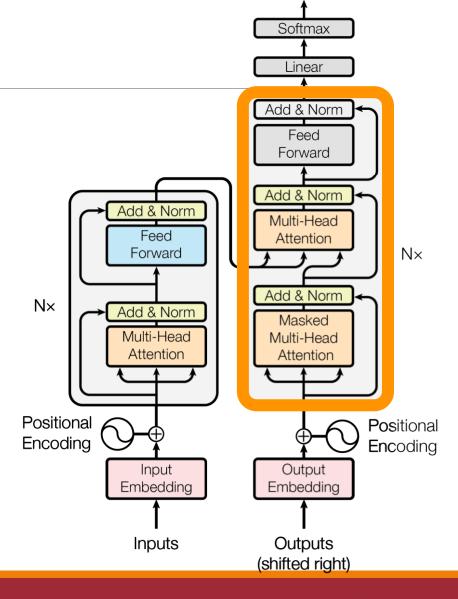
Softmax

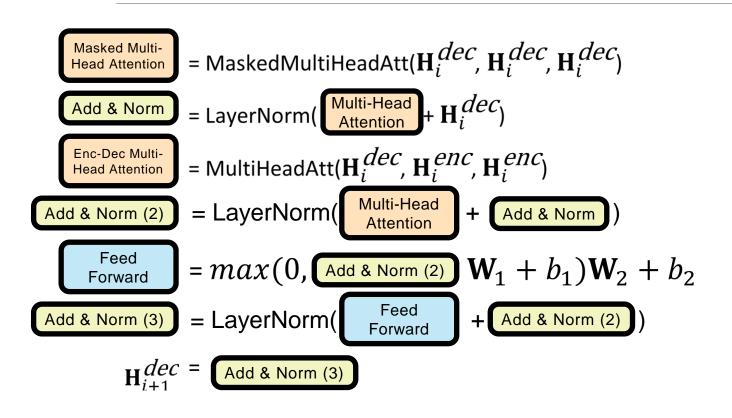


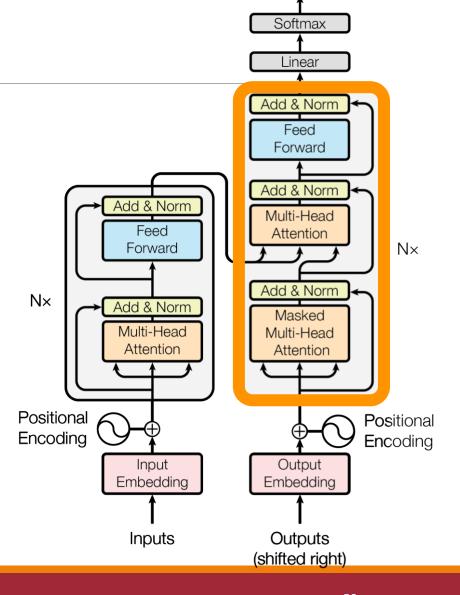


Output









Strengths of the Transformer Architecture

Training is easily parallelizable

Larger models can be trained efficiently.

Does not "forget" information from earlier in the sequence.

Any position can attend to any position.

What are some of its weaknesses?

Knowledge Check

Draw a map comparing & contrasting the following LMs that we talked about:

Count-based LMs

Maxent/Logistic Regression LMs

Simple NNs

Simple RNNs

Seq2Seq RNNs

Transformers

Submit on Google Classroom after class