# Neural Language Models & Attention

Lara J. Martin (she/they)

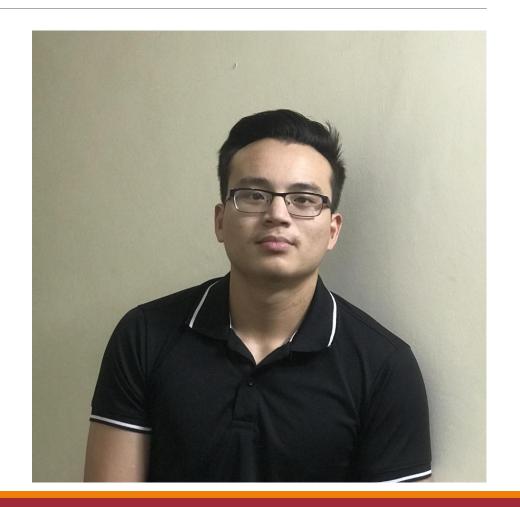
https://laramartin.net/interactive-fiction-class

Slides modified from Dr. Daphne Ippolito & Dr. Frank Ferraro

### TA office hours

**Duong Ta** 

Wednesdays 2-4pm in ITE 340



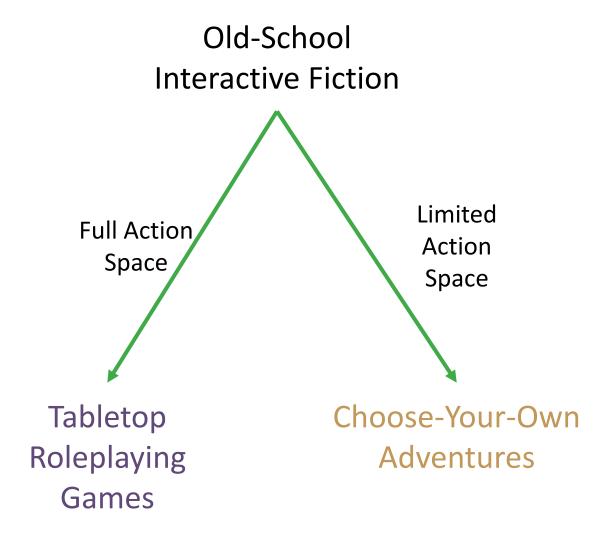
### Learning Objectives

Discover the basic function of language models

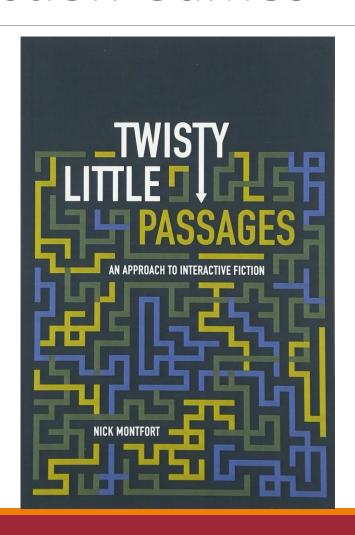
Determine why sequence-to-sequence models emerged from the regular RNN model

Explore the components of RNNs and seq2seq models

Understand the utility of attention mechanisms



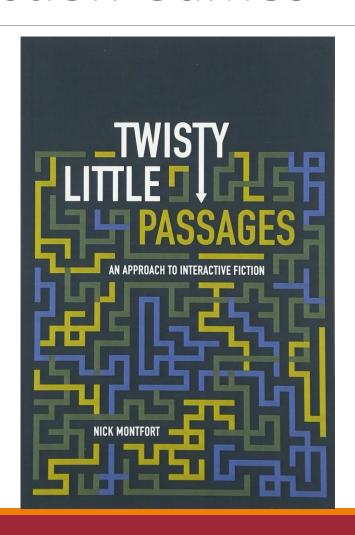
# Review: Components of Interactive Fiction Games



The parser, which is the component that analyzes natural language input in an interactive fiction work.

The world model, which is setting of an interactive fiction work.

# Review: Components of Interactive Fiction Games



The parser, which is the component that analyzes natural language input in an interactive fiction work.

The world model, which is setting of an interactive fiction work.

You just started up a game and now you're staring at text and a blinking cursor and you don't know what to do!

Don't panic kids— Crazy Uncle Zarf is here to help you get started...

These commands are very common: **EXAMINE** it PUSH it TAKE it PULL it **DROP** it TURN it **OPEN** it FEEL it **PUT** it **IN** something

PUT it ON something

When in doubt, examine more.

You are standing in an open field west of a white house, with a boarded front door. There is a small mailbox here.

You can try all sorts of commands on the things you see.

Try the commands that make sense! Doors are for opening; buttons are for pushing; pie is for eating. (Mmm, pie.)



If you meet a person, these should work:

TALK TO name

**ASK** name **ABOUT** something

**TELL** name **ABOUT** something

**GIVE** something **TO** name

**SHOW** something **TO** name

Each game has slightly different commands, but they all look **pretty much like these**.

You could also try: EAT it

CLIMB it **DRINK** it WAVE it

FILL it WEAR it

TAKE it OFF SMELL it

LISTEN TO it TURN it ON **BREAK** it DIG IN it

**BURN** it **ENTER** it

LOOK UNDER it **SEARCH** it **UNLOCK** it **WITH** something

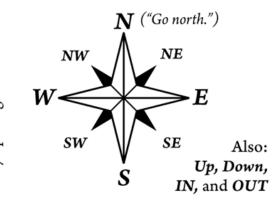
Or even:

LISTEN **JUMP** SLEEP **PRAY** WAKE UP **CURSE** 

UNDO SING

Take back one move — handy!

Does the game intro suggest ABOUT, INFO, HELP? *Try them first!* 



"What if I only want to type one or two letters?" 0000

N/E/S/W/NE/SE/NW/SW: GO in the indicated compass direction.

L: LOOK around to see what is nearby.

X: EXAMINE a thing in more detail.

I: take INVENTORY of what you possess.

Z: WAIT

a turn without doing anything.

**G:** *do the same thing* **AGAIN** 



A service of the People's Republic of Interactive Fiction: http://pr-if.org

### Review: Why were parsers so bad?



Limited computational resources. Computers had ≤128 KB of memory



**Language is difficult.** There are many things that make human languages genuinely challenging for a computer to process.



**Keyword-based commands.** Only exact matches worked properly. No synonyms, no paraphrases.

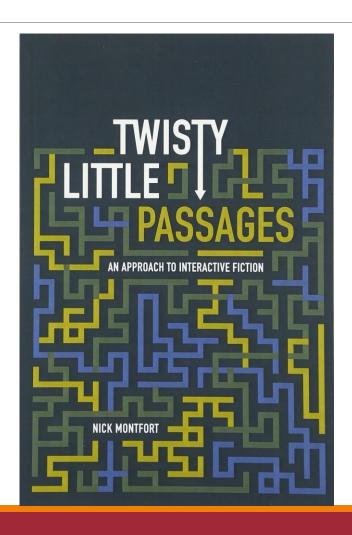


**Everything was manual.** Game developers had to anticipate all possible commands, and manually code the responses.



**No machine learning.** This was prior to the advent of machine learning based natural language processing

# Review: Components of Interactive Fiction Games



The parser, which is the component that analyzes natural language input in an interactive fiction work.

The world model, which is setting of an interactive fiction work.

### Review: World Model

It represents the physical environment, and things like

- Settings or locations
- Physical objects in each setting
- The player's character
- Non-player characters

It also represents and simulates the physical laws of the environment.

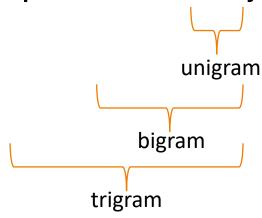
## Language Models

### What is a language model?

A model, given a history of words, that outputs likely next words.

Originally, they were statistical **n-gram models**.

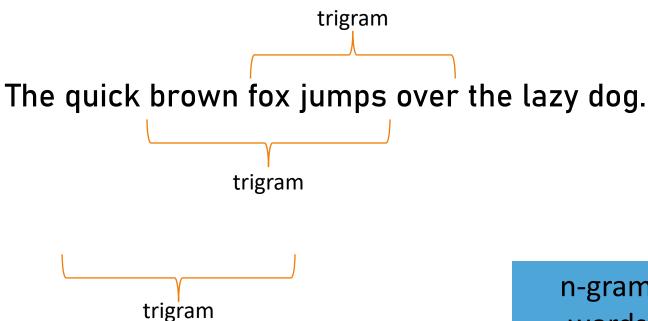
The quick brown fox jumps over the lazy dog.



### What is a language model?

A model, given a history of words, that outputs likely next words.

Originally, they were statistical n-gram models.



n-gram: any consecutive n # of words, treated as a single unit

### What is a language model?

A model, given a history of words, that outputs likely next words.

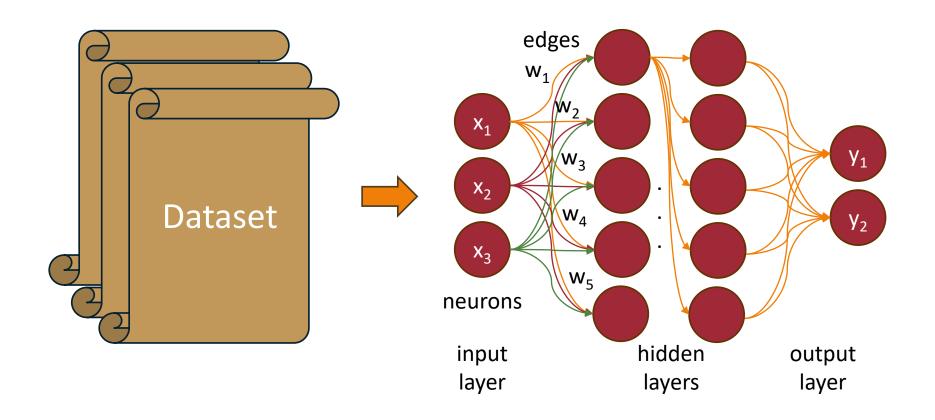
P("apple" | "eat the") = 0.02

P("pineapple" | "eat the") = 0.01

P("suitcase" | "eat the") = 0.0001

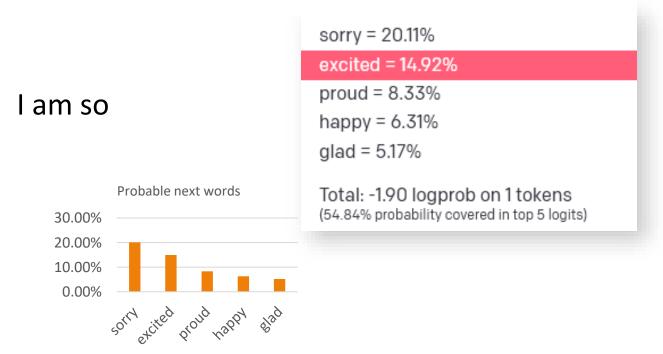
# What is a *neural* language model?

### What's a neural network?



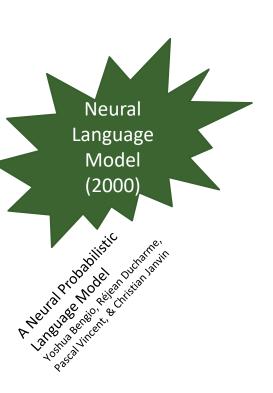
### Using a neural language model



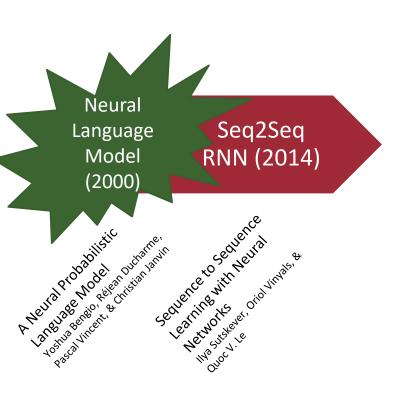


excited

### Neural Language Model Timeline



### Neural Language Model Timeline



### Sequence-to-Sequence RNNs

Up until 2017 or so, neural language models were mostly built using recurrent neural networks.

### **Sequence to Sequence Learning** with Neural Networks

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Ouoc V. Le Google qvl@google.com

### Abstract

Deep Neural Networks (DNNs) are powerful models that have achieved excellent performance on difficult learning tasks. Although DNNs work well whenever large labeled training sets are available, they cannot be used to map sequences to sequences. In this paper, we present a general end-to-end approach to sequence learning that makes minimal assumptions on the sequence structure. Our method uses a multilayered Long Short-Term Memory (LSTM) to map the input sequence to a vector of a fixed dimensionality, and then another deep LSTM to decode the target sequence from the vector. Our main result is that on an English to French translation task from the WMT'14 dataset, the translations produced by the LSTM achieve a BLEU score of 34.8 on the entire test set, where the LSTM's BLEU score was penalized on out-of-vocabulary words. Additionally, the LSTM did not have difficulty on long sentences. For comparison, a phrase-based SMT system achieves a BLEU score of 33.3 on the same dataset. When we used the LSTM to rerank the 1000 hypotheses produced by the aforementioned SMT system, its BLEU score increases to 36.5, which is close to the previous best result on this task. The LSTM also learned sensible phrase and sentence representations that are sensitive to word order and are relatively invariant to the active and the passive voice. Finally, we found that reversing the order of the words in all source sentences (but not target sentences) improved the LSTM's performance markedly, because doing so introduced many short term dependencies between the source and the target centence which made the entimization problem easie

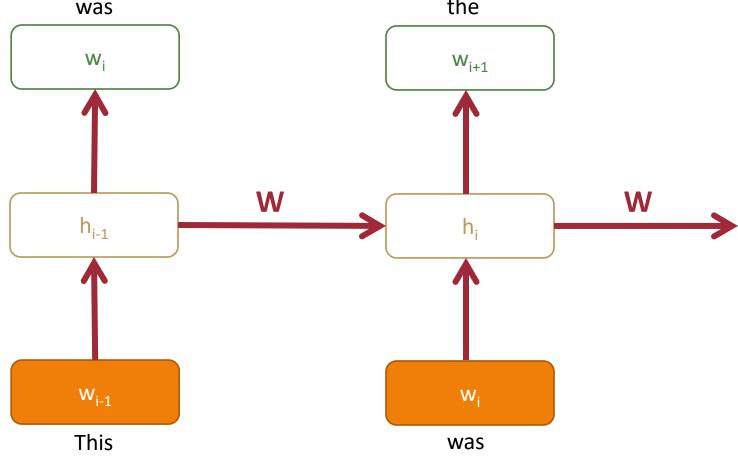


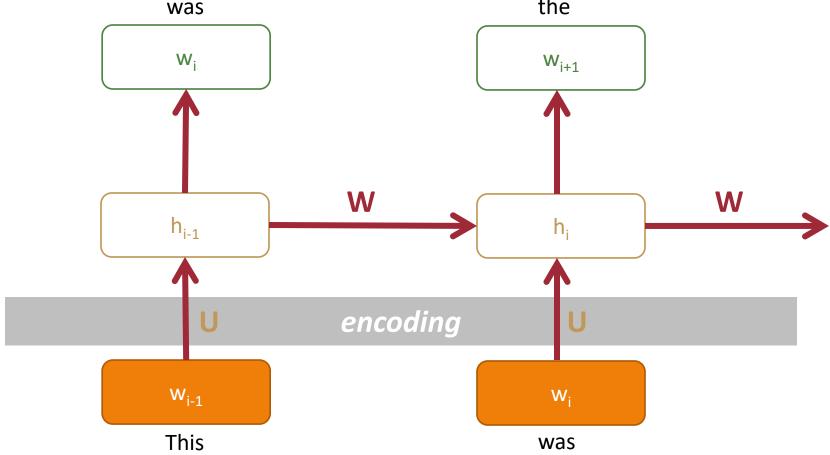
Generating Sequences With Recurrent Neural Networks

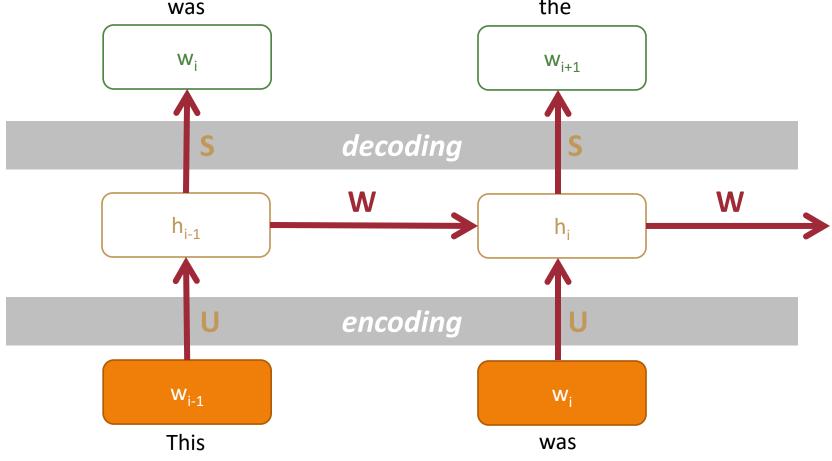
Alex Graves Department of Computer Science University of Toronto graves@cs.toronto.edu

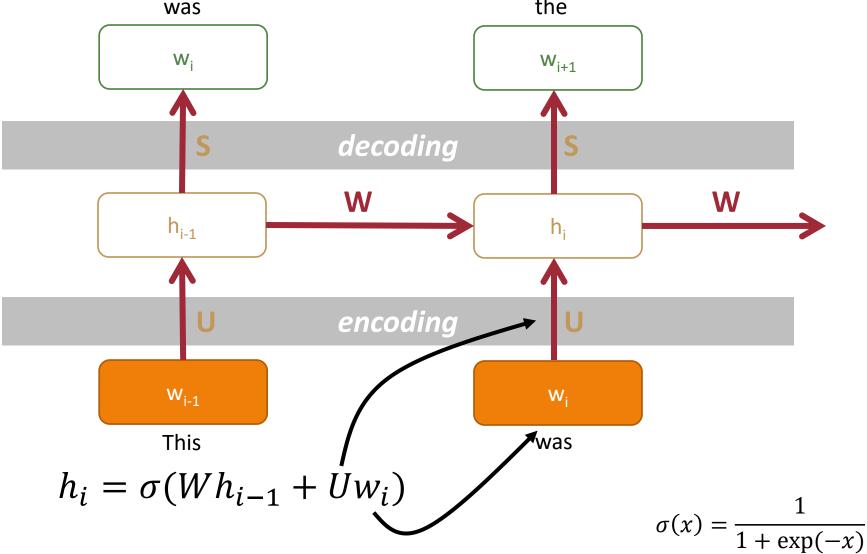
### Abstract

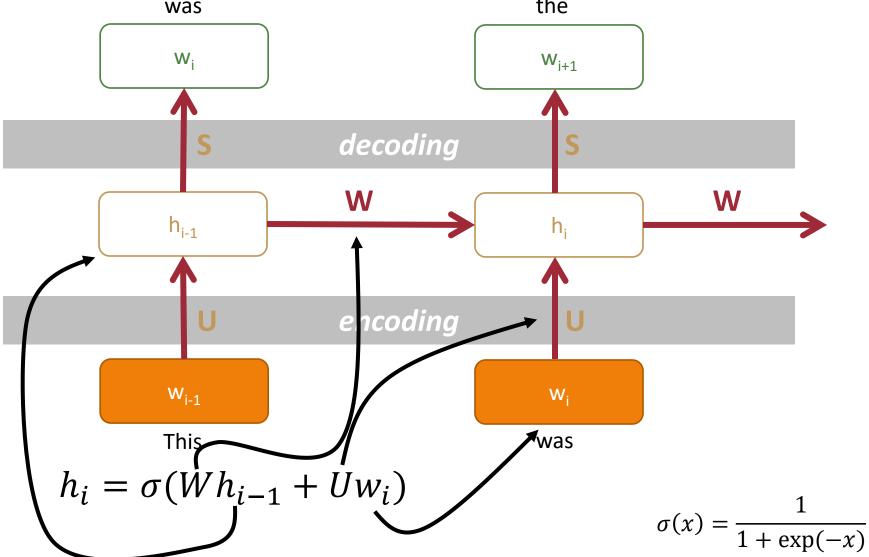
This paper shows how Long Short-term Memory recurrent neural networks can be used to generate complex sequences with long-range structure, simply by predicting one data point at a time. The approach is demonstrated for text (where the data are discrete) and online handwriting (where the data are real-valued). It is then extended to handwriting synthesis by allowing the network to condition its predictions on a text sequence. The resulting system is able to generate highly realistic cursive band-witing in a wide conjete of studen

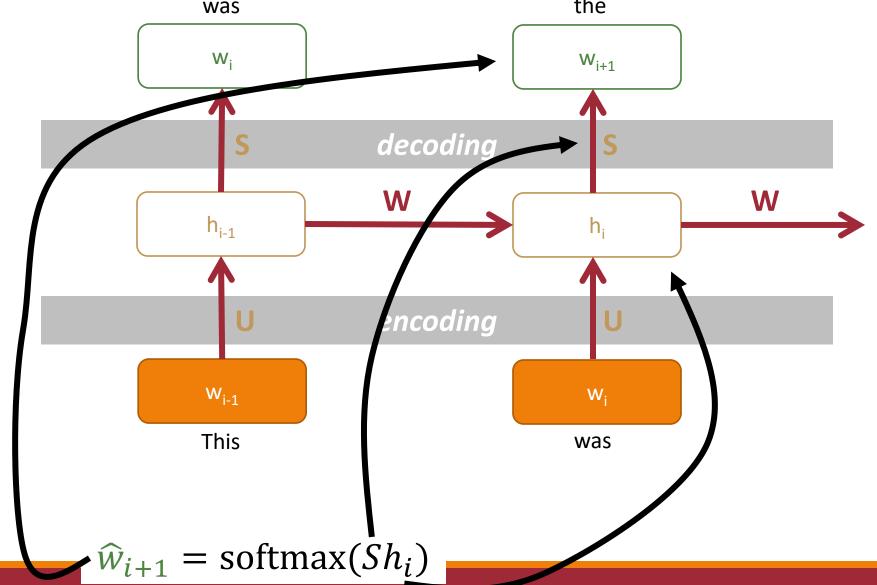


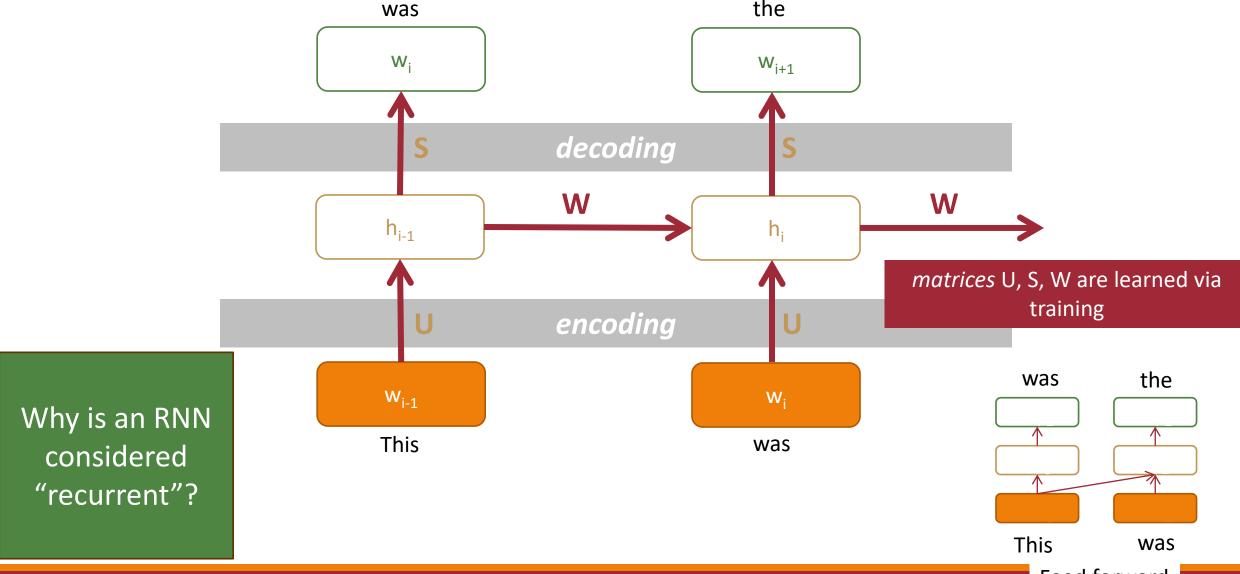










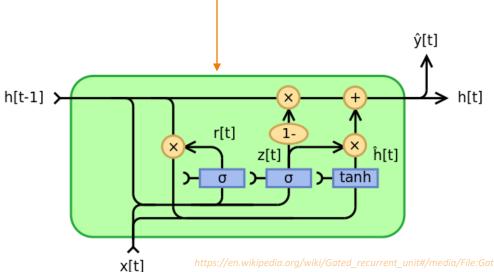


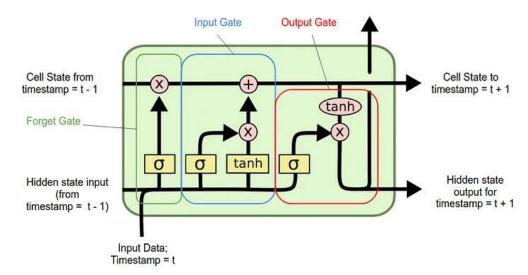
### Types of RNN cells: LSTMs/GRUs

LSTM: Long Short-Term Memory (Hochreiter & Schmidhuber, 1997)

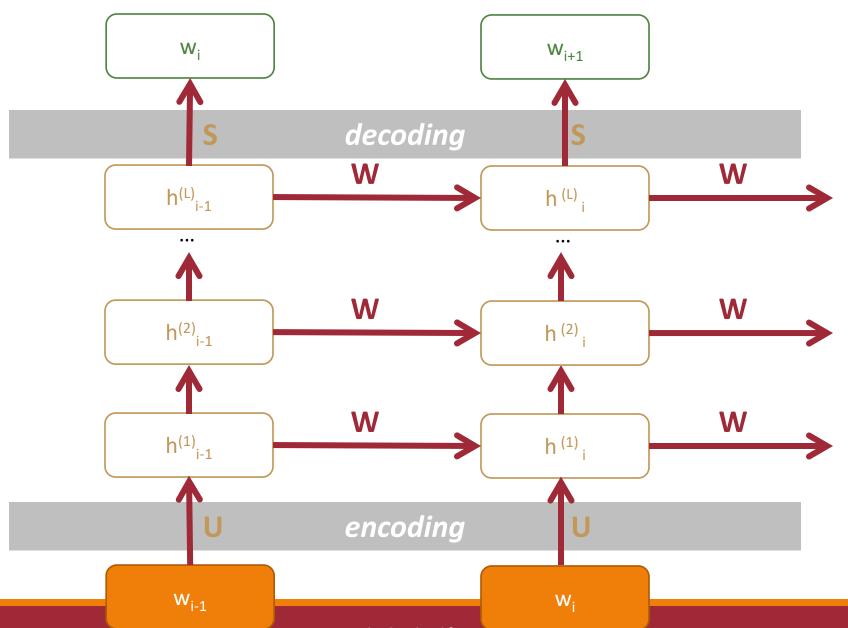
LSTMs were originally designed to keep around information for longer in the hidden state as it gets repeatedly updated.

GRU: Gated Recurrent Unit (Cho et al., 2014)





### A Multi-Layer Recurrent Neural Network Cell



### Sequence-to-Sequence / Encoder-Decoder Models

Think-pair-share:

Sequence-to-sequence models

divided up the encoder and

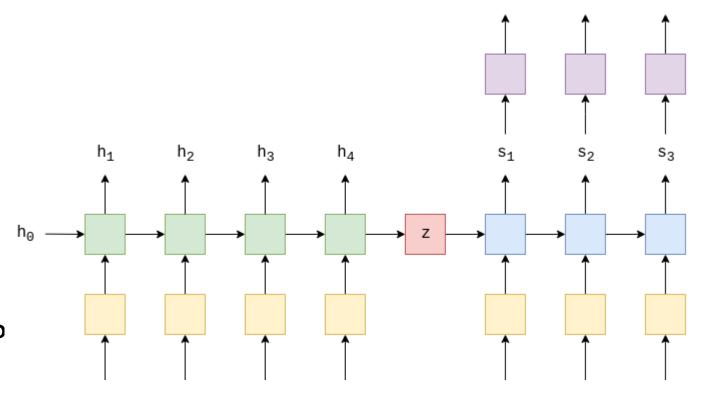
decoder components of RNNs

and

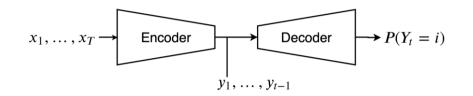
added another input to the

decoder.

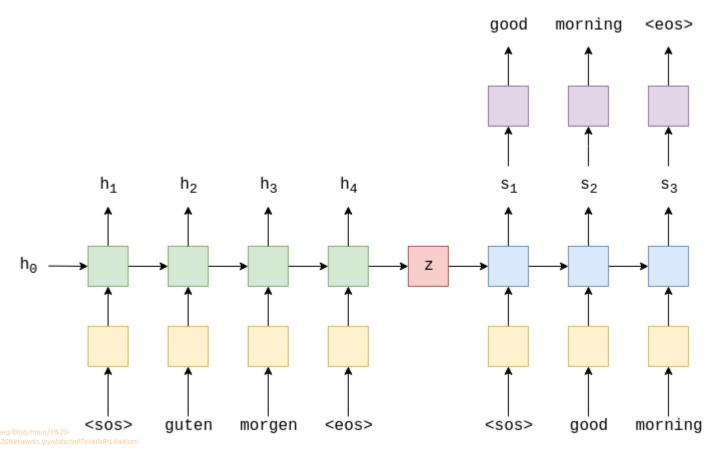
Why do you think this was useful?



I. Sutskever, O. Vinyals, and Q. V. Le, "Sequence to Sequence Learning with Neural Networks," in *Conference on Advances in Neural Information Processing Systems (NeurIPS)*, Montréal, Canada, 2014, pp. 3104–3112. https://proceedings.neurips.cc/paper\_files/paper/2014/hash/a14ac55a4f27472c5d894ec1c3c743d2-Abstract.html

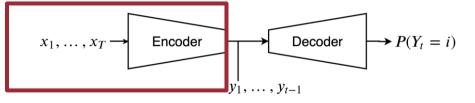


### Sequence-to-Sequence / Encoder-Decoder Models



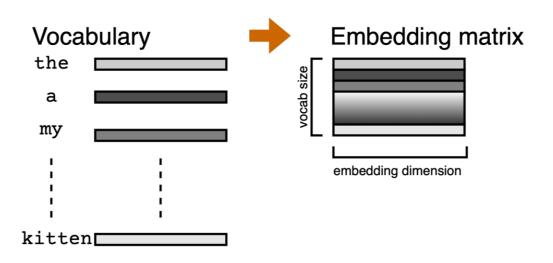
I. Sutskever, O. Vinyals, and Q. V. Le, "Sequence to Sequence Learning with Neural Networks," in *Conference on Advances in Neural Information Processing Systems (NeurIPS)*, Montréal, Canada, 2014, pp. 3104–3112. <a href="https://proceedings.neurips.cc/paper\_files/paper/2014/hash/a14ac55a4f27472c5d894ec1c3c743d2-Abstract.html">https://proceedings.neurips.cc/paper\_files/paper/2014/hash/a14ac55a4f27472c5d894ec1c3c743d2-Abstract.html</a>

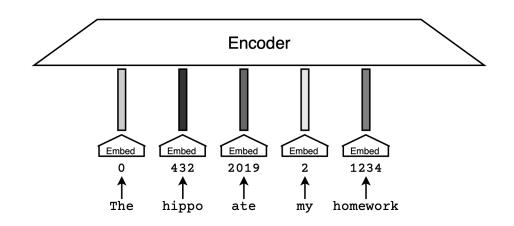
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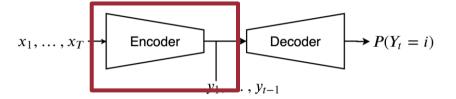


### Inputs to the Encoder

The encoder takes as input the embeddings corresponding to each token in the sequence.

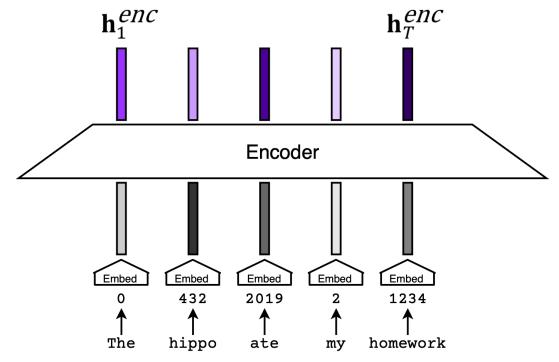




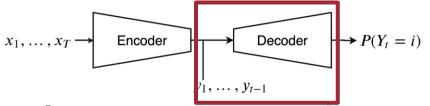


### Outputs from the Encoder

The encoder outputs a sequence of vectors. These are called the hidden state of the encoder.

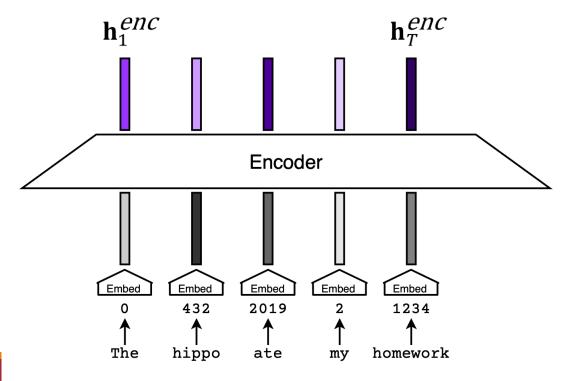


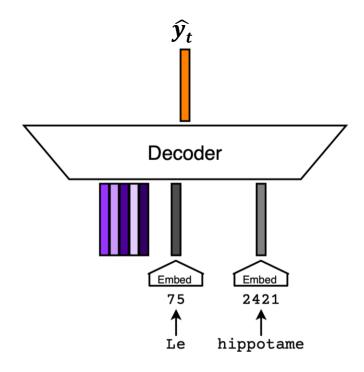
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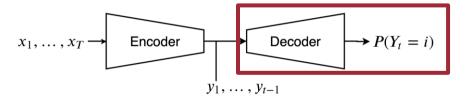


### Inputs to the Decoder

The decoder takes as input the hidden states from the encoder as well as the embeddings for the tokens seen so far in the target sequence.

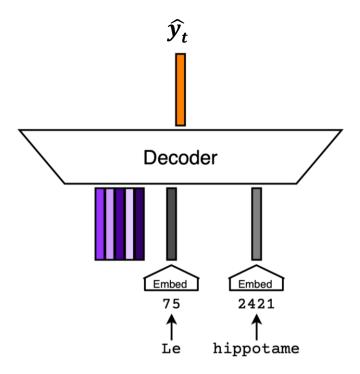






### Outputs from the Decoder

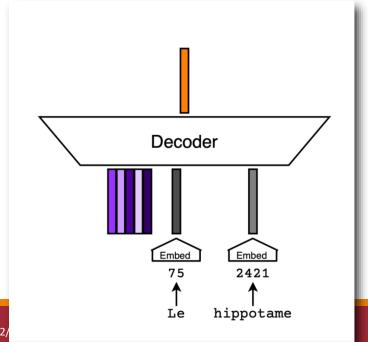
The decoder outputs an embedding  $\widehat{yt}$ . The goal is for this embedding to be as close as possible to the embedding of the true next token.

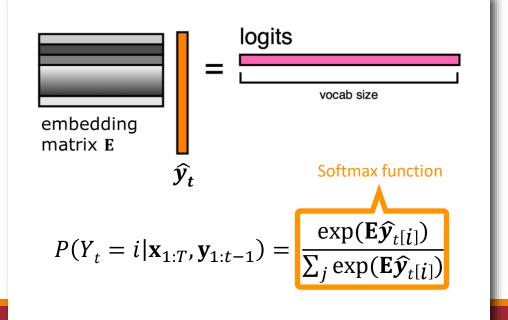


### Turning $\widehat{\boldsymbol{yt}}$ into a Probability Distribution

We can multiply the predicted embedding  $\widehat{yt}$  by our vocabulary embedding matric to get a score for each vocabulary word. These scores are referred to as logits.

The softmax function then lets us turn the logits into probabilities.



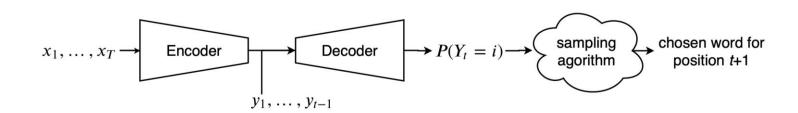


## Generating Text

Also sometimes called decoding

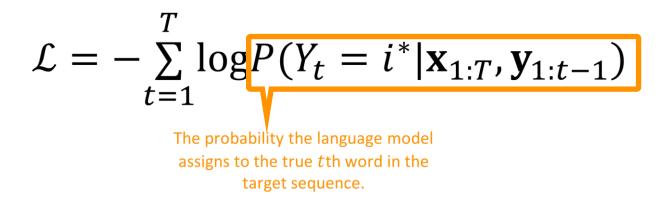


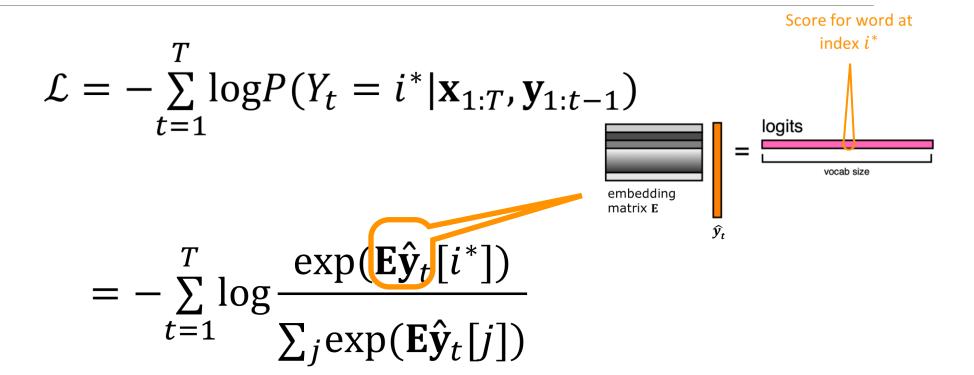
To generate text, we need an algorithm that selects tokens given the predicted probability distributions.



More on this in the next lecture!

$$\mathcal{L} = -\sum_{t=1}^{T} \log P(Y_t = i^* | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1})$$
The index of the true tth word in the target sequence.





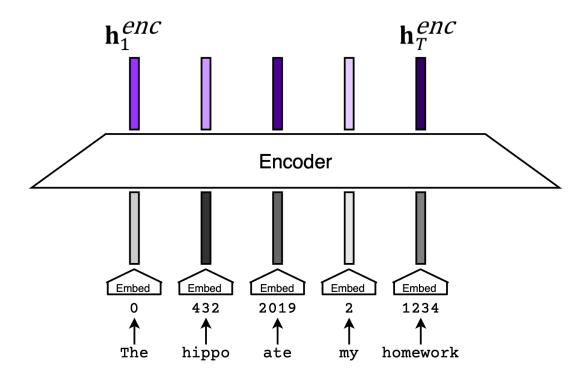
$$P(Y_t = i | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1}) = \frac{\exp(\mathbf{E}\widehat{\mathbf{y}}_{t[i]})}{\sum_{j} \exp(\mathbf{E}\widehat{\mathbf{y}}_{t[i]})}$$

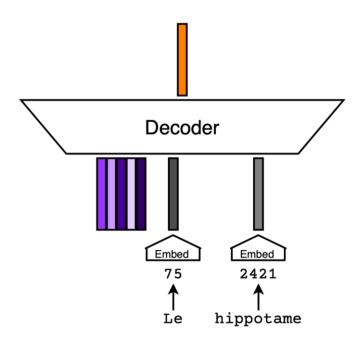
$$\mathcal{L} = -\sum_{t=1}^{T} \log P(Y_t = i^* | \mathbf{x}_{1:T}, \mathbf{y}_{1:t-1})$$

$$= -\sum_{t=1}^{T} \frac{\exp(\mathbf{E}\mathbf{y}_{t}[i^{*}])}{\sum_{j} \exp(\mathbf{E}\hat{\mathbf{y}}_{t}[j])}$$

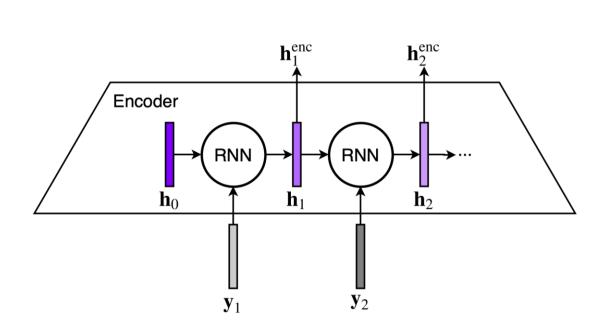
$$= -\sum_{t=1}^{T} \mathbf{E} \hat{\mathbf{y}}_t[i^*]$$

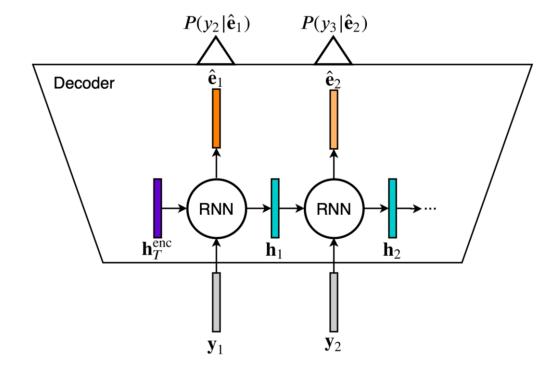
How might we combine the two parts to make an encoder-decoder model?





**Simplest approach:** Use the final hidden state from the encoder to initialize the first hidden state of the decoder.





Translate Fr to En

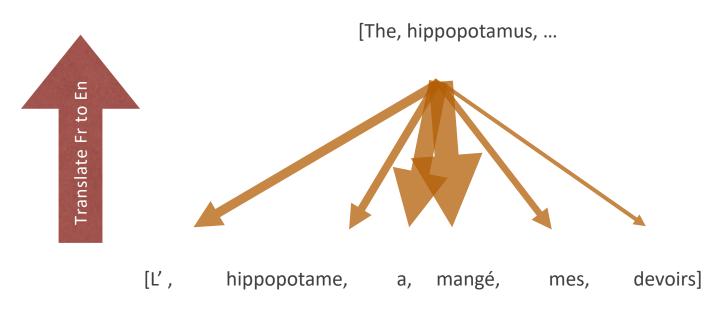
[The, hippopotamus, ...

When predicting the next English word, how much weight should the model put on each French word in the source sequence?

[L', hippopotame, a, mangé, mes, devoirs]

## Attention

### Better approach: an attention mechanism



Compute a linear combination of the encoder hidden states.

Decoder's prediction at position *t* is based on both the context vector and the hidden state outputted by the RNN at that position.

$$\mathbf{\hat{e}}_{t} = f_{\theta}(\mathbf{h}_{t}^{\text{dec}} \mathbf{c}_{t})$$

The t<sup>th</sup> context vector is computed as  $\mathbf{c}t = \mathbf{H}^{\mathrm{enc}}at$   $at[i] = \mathrm{softmax}(\mathrm{att\_score}(\mathbf{h}_t^{\mathrm{dec}}, \mathbf{h}i^{\mathrm{enc}}))$ 

Compute a linear combination of the encoder hidden states.

$$= \alpha_1 + \alpha_2 + \alpha_3 + \ldots + \alpha_T$$

Decoder's prediction at position *t* is based on both the context vector and the hidden state outputted by the RNN at that position.

$$\hat{\mathbf{e}}_t = f_{\theta}(\mathbf{h}_t^{\text{dec}} \quad \mathbf{c}_t)$$

There are a few different options for the attention score:

$$\mathbf{H}^{\mathrm{enc}} = \boxed{}$$

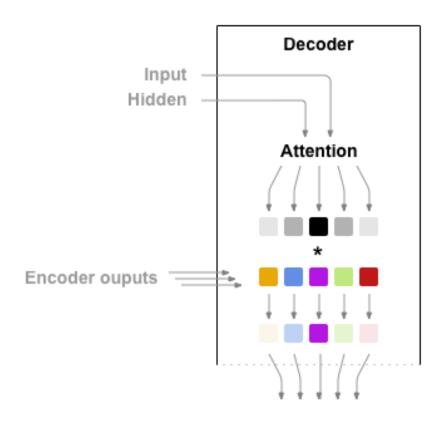
$$\text{att\_score}(\mathbf{h}_t^{\text{dec}}, \mathbf{h}_i^{\text{enc}}) = \begin{cases} \mathbf{h}_t^{\text{dec}} \cdot \mathbf{h}_i^{\text{enc}} \\ \mathbf{h}_t^{\text{dec}} \cdot \mathbf{W} a \mathbf{h}_i^{\text{enc}} \end{cases} \\ w_{a1}^{\top} \tanh(\mathbf{W} a \mathbf{2} [\mathbf{h}_t^{\text{dec}}, \mathbf{h}_i^{\text{enc}}]) \end{cases}$$

dot product

bilinear function

MLP

### Attention Decoder



https://pytorch.org/tutorials/intermediate/seq2seq translation tutorial.html

# Limitations of Recurrent architecture

#### Slow to train.

- Can't be easily parallelized because of the recurrence
- The computation at position t is dependent on first doing the computation at position t-1.

### Difficult to access information from many steps back.

• If two tokens are K positions apart, there are K opportunities for knowledge of the first token to be erased from the hidden state before a prediction is made at the position of the second token.

## Seq2Seq Output (2017)

R2-D2 carrying some drinks on a tray strapped to his back passes Yoda who uses his force powers to hog the drinks

#### **Expected:**

Obi Wan and Anakin are drinking happily when Chewbacca takes a Polaroid picture of Anakin and Obi Wan

#### **Predicted:**

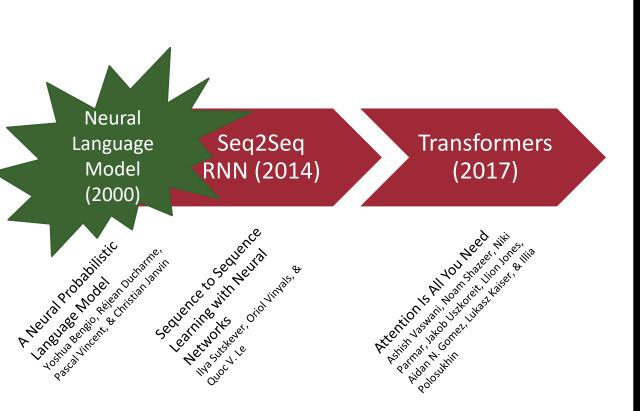
Can this block gives him the advantage to personally run around with a large stick of cheese







## Neural Language Model Timeline



#### **Attention Is All You Need**

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#### Abstract

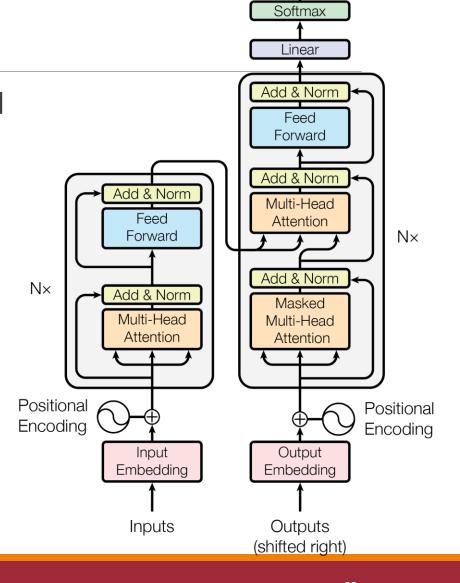
The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transforment based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 English-to-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.0 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature.

9/2/2025 NEURAL LANGUAGE

## Transformers

The Transformer is a **non-recurrent** non-convolutional (feed-forward) neural network designed for language understanding

 introduces <u>self-attention</u> in addition to encoderdecoder attention



Output Probabilities